

## Werk

**Titel:** An isomorphism theorem for algebras

**Autor:** Palmer, Dan

**Jahr:** 1970

**PURL:** [https://resolver.sub.uni-goettingen.de/purl?320387429\\_0004|log12](https://resolver.sub.uni-goettingen.de/purl?320387429_0004|log12)

## Kontakt/Contact

[Digizeitschriften e.V.](#)  
SUB Göttingen  
Platz der Göttinger Sieben 1  
37073 Göttingen

✉ [info@digizeitschriften.de](mailto:info@digizeitschriften.de)

AN ISOMORPHISM THEOREM FOR ALGEBRAS

by

Dan PALMER

Suppose we have given two algebras  $\mathcal{A}$  and  $\mathcal{B}$  over a field  $F$  and an  $F$ -linear  $\phi$  such that

$\phi : \mathcal{A} \rightarrow \mathcal{B}$  such that  $\phi|_{\mathcal{A}^2} : \mathcal{A}^2 \rightarrow \mathcal{B}^2$  is onto, and such that  $\phi$  is an isomorphism of  $\mathcal{A}$  and  $\mathcal{B}$  as vector spaces over  $F$ . We wish to find necessary and sufficient conditions that  $\phi$  be an isomorphism of  $\mathcal{A}$  and  $\mathcal{B}$  as algebras.

For any algebra  $\mathcal{A}$  the multiplication in  $\mathcal{A}$  is an  $F$ -bilinear map,

$$\tau_{\mathcal{A}} : \mathcal{A} \times \mathcal{A} \longrightarrow \mathcal{A}^2$$

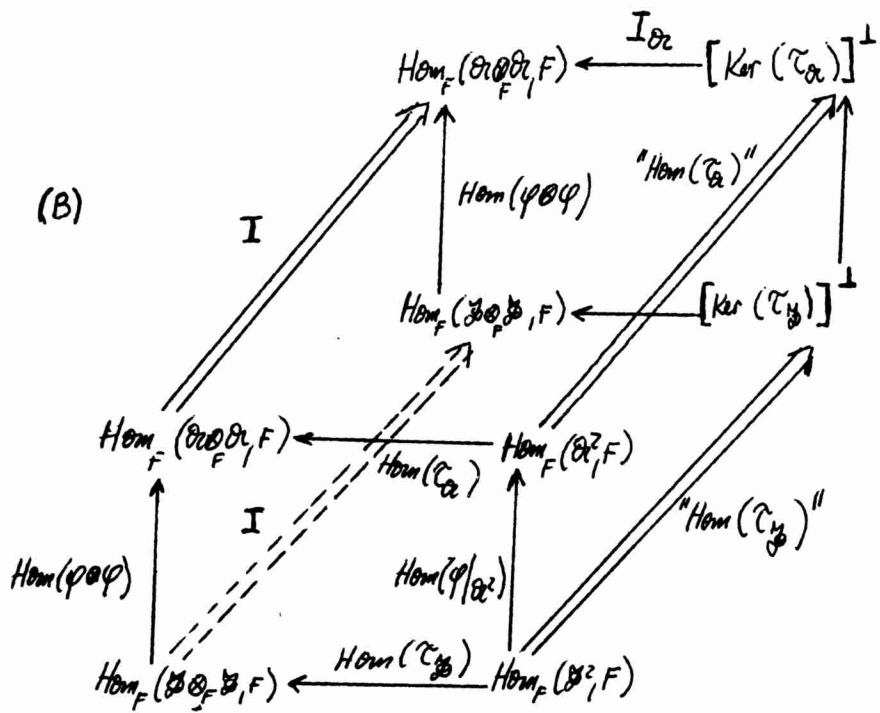
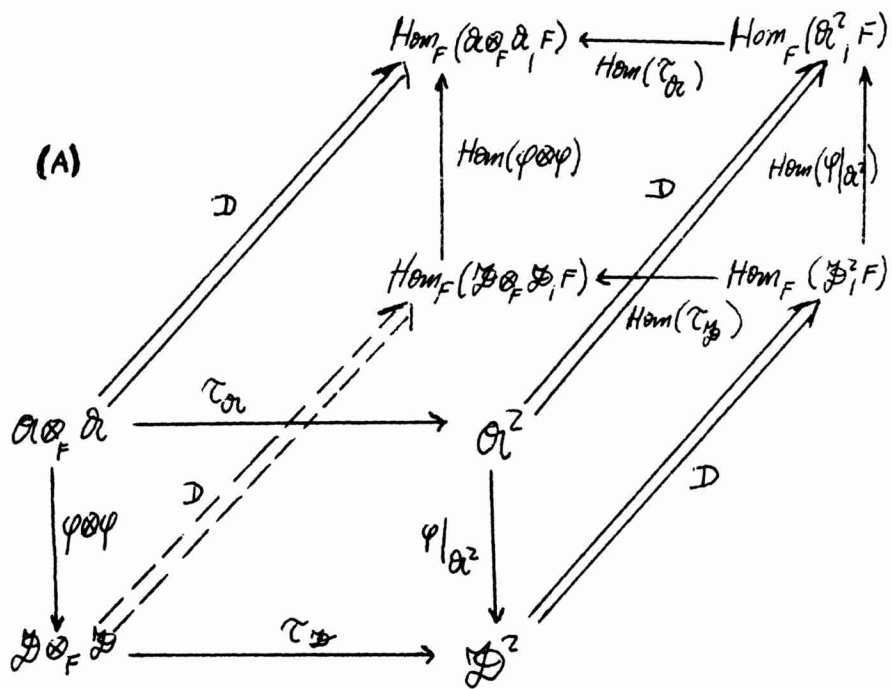
Associated to  $\tau_{\mathcal{A}}$  is an  $F$ -linear map,

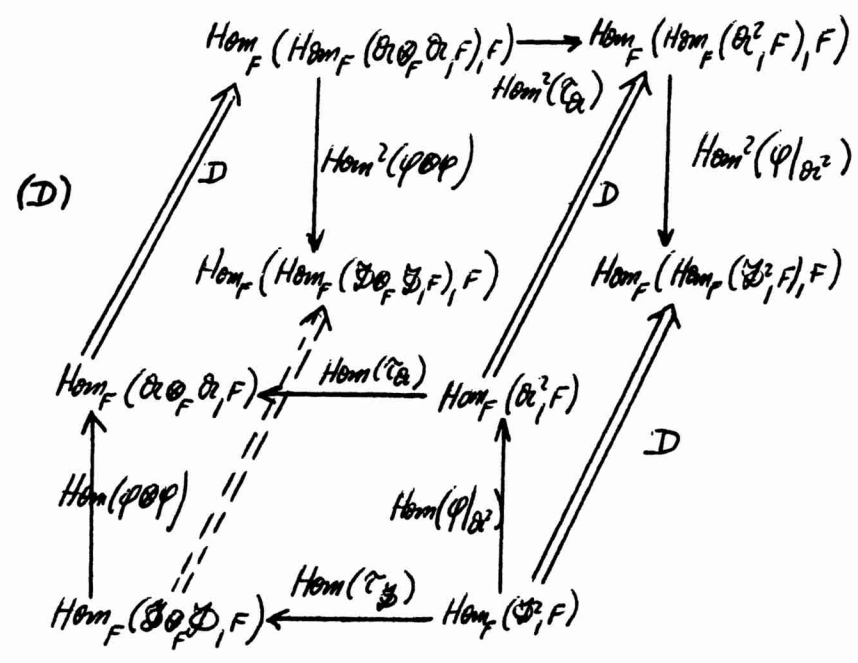
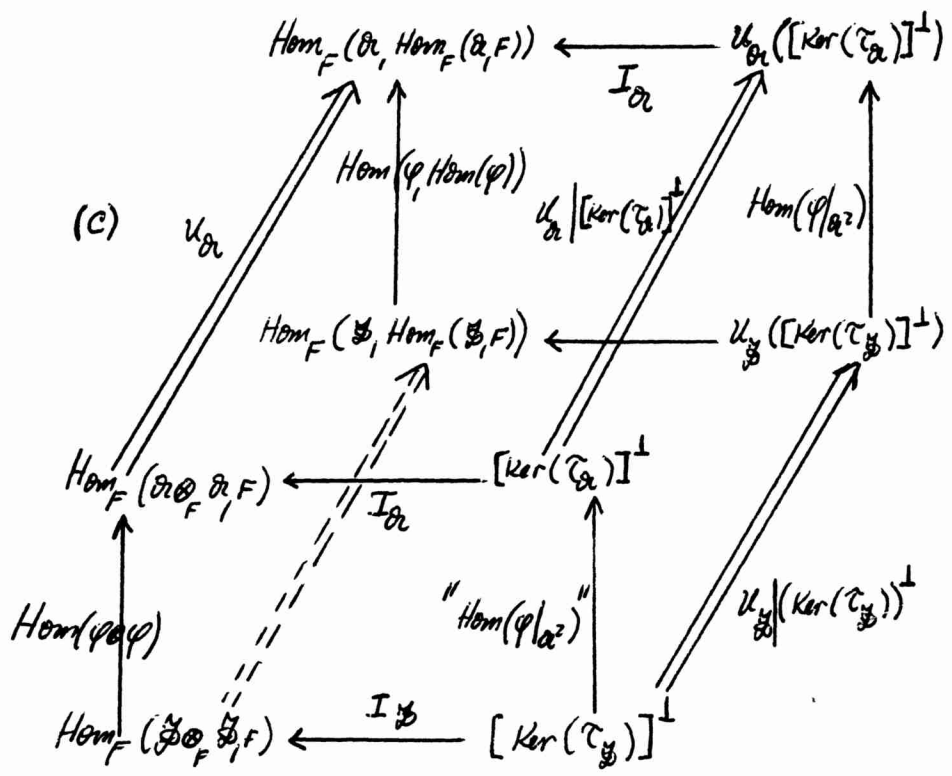
$$\tau_{\mathcal{A}} : \mathcal{A} \otimes_F \mathcal{A} \longrightarrow \mathcal{A}^2$$

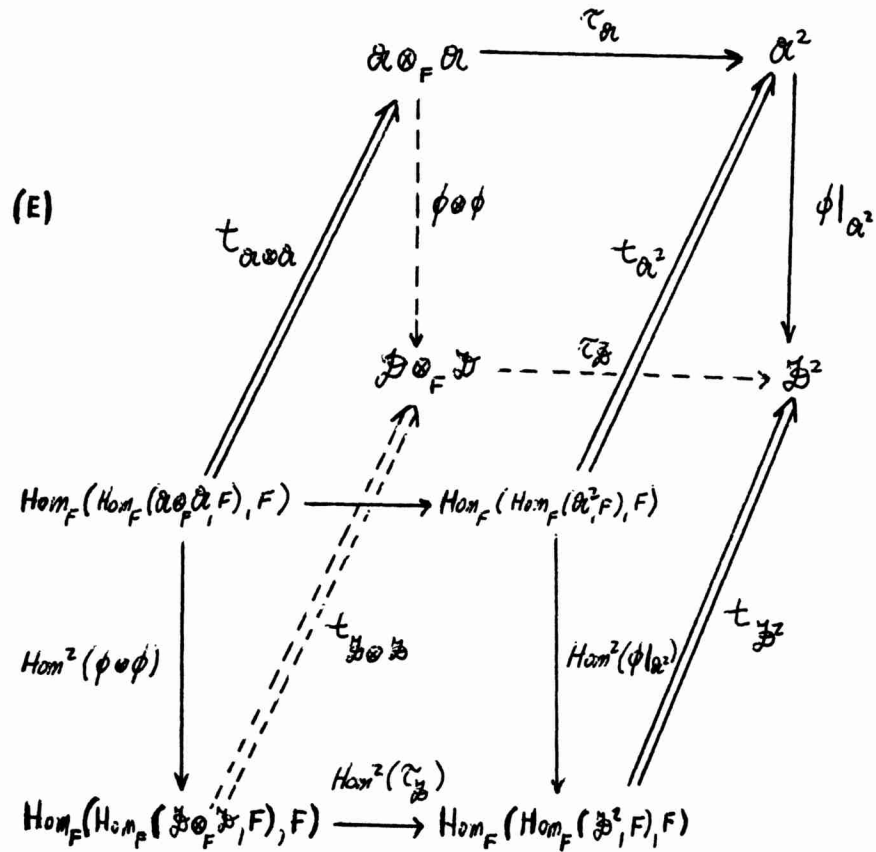
It is immediate from the definitions that  $\phi$  will be an isomorphism of  $\mathcal{A}$  and  $\mathcal{B}$  as algebras if and only if the following diagram commutes:

$$\begin{array}{ccc} \mathcal{A} \otimes_F \mathcal{A} & \xrightarrow{\tau_{\mathcal{A}}} & \mathcal{A}^2 \\ \phi \otimes \phi \downarrow & & \downarrow \phi|_{\mathcal{A}^2} \\ \mathcal{B} \otimes_F \mathcal{B} & \xrightarrow{\tau_{\mathcal{B}}} & \mathcal{B}^2 \end{array}$$

We proceed via the sequence of square diagrams (A), (B), (C), (D), and (E) to get the desired condition. The condition will be evident when we have explained each diagram.







(A) : In (A) we get (2) from (1) by applying the functor  $D = \text{Hom}_F( \ , F)$  which assigns to every  $F$ -linear space its algebraic dual and to every  $F$ -linear map its adjoint .

(B) : In (B) we get (3) from (2) by recalling that since for any  $\alpha$  ,  $\tau_\alpha$  is surjective , we have  $\text{Hom}_F(\tau_\alpha)$  injective ; and so we can identify  $\text{Hom}_F(\alpha^2, F)$  with its image in  $\text{Hom}_F(\alpha \otimes_F \alpha, F)$  . It is standard that this image is ,

$$[\text{Ker}(\tau_\alpha)]^\perp = \{ f \in \text{Hom}_F(\alpha \otimes_F \alpha, F) \mid f(\text{Ker}(\tau_\alpha)) = 0 \}$$

We let  $I_\alpha$  be the inclusion of  $[\text{Ker}(\tau_\alpha)]^\perp$  into  $\text{Hom}_F(\alpha \otimes_F \alpha, F)$  and " $\text{Hom}_F(\tau_\alpha)$ " be the mapping induced by  $\text{Hom}_F(\tau_\alpha)$  .

(C) : In (C) we get (4) from (3) by recalling that there is a natural isomorphism

$u_{(\bullet)}$  between the following two functors of one variable,

$$\text{Hom}_F(\bullet \otimes_F \bullet, F) \quad \text{and} \quad \text{Hom}_F(\bullet, \text{Hom}_F(\bullet, F)).$$

(D) In (D) we get (5) from (2) by applying the functor  $D$  again.

(E) In (E) we get (1) from (5) by  $t_{(\bullet)}$  where  $t_{(\bullet)}$  is the natural isomorphism between the two functors  $D^2$  and  $I$ .

**REMARK.** We must at this point assume some such condition as  $\dim < \infty$  so that  $D^2$  will be naturally isomorphic to  $I$ .

We can now state the main theorem.

**THEOREM 1.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be two finite-dimensional algebras over  $F$ . Suppose we have an  $F$ -linear  $\phi$  such that

$$\phi : \mathcal{A} \rightarrow \mathcal{B} \text{ such that } \phi|_{\mathcal{A}^2} : \mathcal{A}^2 \rightarrow \mathcal{B} \text{ is onto}$$

and such that  $\phi$  is a vector space isomorphism. Then  $\phi$  will be an isomorphism of  $\mathcal{A}$  and  $\mathcal{B}$  as algebras  $\Leftrightarrow$  the following diagram, denoted above by (4), commutes :

$$\begin{array}{ccc}
 \text{Hom}_F(\mathcal{A}, \text{Hom}_F(\mathcal{A}, F)) & \xleftarrow{I_{\mathcal{A}}} & u_{\mathcal{A}}([\text{Ker}(\tau_{\mathcal{A}})]) \\
 \uparrow \text{Hom}(\phi, \text{Hom}(\phi)) & & \uparrow \text{"Hom}(\phi|_{\mathcal{A}^2})\text{"} \\
 \text{Hom}_F(\mathcal{B}, \text{Hom}_F(\mathcal{B}, F)) & \xleftarrow{I_{\mathcal{B}}} & u_{\mathcal{B}}([\text{Ker}(\tau_{\mathcal{B}})])
 \end{array}$$

**PROOF:** Since we have seen that  $\phi$  is an algebra isomorphism  $\Leftrightarrow$  (1) commutes, the proof just consists of the fact that as soon as any one of the squares (1) through (5) commute, they all do.

Use the notation " $(k) \Rightarrow (l)$ " for  $(k)$  commutes  $\Rightarrow (l)$  does.

(1)  $\Rightarrow$  (2): This follows from the functorial property of  $D$ .

(2)  $\Leftrightarrow$  (3): The faces bounded (in part) by the double edges in (B) commute. Since  $I$ , " $\text{Hom}(\tau_{\mathcal{A}})$ " and " $\text{Hom}(\tau_{\mathcal{B}})$ " are isomorphism, (2)  $\Leftrightarrow$  (3). (3)  $\Leftrightarrow$  (4): The faces bounded (in part) by the double edges of (C) commute since  $u_{(\bullet)}$  is a natural isomorphism. Again since  $u_{(\bullet)}$  is an isomorphism, (3)  $\Leftrightarrow$  (4). (2)  $\Rightarrow$  (5): Again the functorial property of  $D$ .

(5)  $\Leftrightarrow$  (1): The faces bounded (in part) by the double edges in (E) commute since  $t_{(\bullet)}$  is an isomorphism (5)  $\Leftrightarrow$  (1). Since  $t_{(\bullet)}$  is an isomorphism (5)  $\Leftrightarrow$  (1).

*REMARK.* The essential feature of the whole proof is that  $D^2$  is naturally isomorphic to  $I$ . (This gives us the "if" part.) We could get other necessary and sufficient conditions for isomorphism if we had other invertible functors i.e. functors  $F$  for which there is a functor  $G$  such that  $G \circ F$  is naturally isomorphic to the identity functor.

It would be nice to have a necessary and sufficient isomorphism condition that explicitly shows the involvement of the base field and so, we will now choose bases for our  $F$ -spaces.

Let  $\{\alpha_i\}^n$ , and  $\{\beta_i\}^n$ , be bases for and respectively, and such that  $\{\alpha_i\}^r$ , and  $\{\beta_i\}^r$ , are bases for and respectively. Thus we have,

$$\begin{pmatrix} \alpha_1 \alpha_1 & \cdots & \alpha_1 \alpha_n \\ \cdot & & \cdot \\ \cdot & & \cdot \\ \cdot & & \cdot \\ \alpha_n \alpha_1 & \cdots & \alpha_n \alpha_n \end{pmatrix} = \sum_{k=1}^n \begin{pmatrix} c_{11}^k & \cdots & c_{1n}^k \\ \cdot & & \cdot \\ \cdot & & \cdot \\ \cdot & & \cdot \\ c_{n1}^k & & c_{nn}^k \end{pmatrix} \alpha_k$$

, and ,

$$\begin{pmatrix} \beta_1 \beta_1 & \cdots & \beta_1 \beta_n \\ \cdot & & \cdot \\ \cdot & & \cdot \\ \cdot & & \cdot \\ \beta_n \beta_1 & \cdots & \beta_n \beta_n \end{pmatrix} = \sum_{k=1}^r \begin{pmatrix} d_{11}^k & \cdots & d_{1n}^k \\ \cdot & & \cdot \\ \cdot & & \cdot \\ \cdot & & \cdot \\ d_{n1}^k & \cdots & d_{nn}^k \end{pmatrix} \beta_k$$

The  $\{c_{ij}^k\}$  and  $\{d_{ij}^k\}$  are the multiplication constants of and with respect to the given bases. Let us write,  $C^k = [c_{ij}^k]$  and  $D^k = [d_{ij}^k]$ .

Further let  $H = [b_{ij}]$  be the matrix for  $\phi$  relative to the given bases.

*NOTE:* In the present notation the matrix for  $\phi|_{\mathcal{A}^2}$  is the upper-left hand corner of  $H$ .  $\hat{H}$  has the form ;

$$(7) \quad \left[ \begin{array}{ccc|ccc} b_{11} & \dots & b_{1r} & & & \\ \vdots & & \vdots & & & \\ b_{r1} & \dots & b_{rr} & & & \\ \hline & & & b_{r+1, r+1} & \dots & \\ \vdots & & & \vdots & \ddots & \\ & & & & & b_{nn} \end{array} \right] \quad \text{where } H|_{\mathcal{A}^2} = \begin{bmatrix} b_{11} & \dots & b_{1r} \\ \vdots & & \vdots \\ b_{r1} & \dots & b_{rr} \end{bmatrix}$$

We are now ready to state theorem (1) in the language of matrices .

**THEOREM 2.** - Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $n$ -dimensional algebras over  $F$ . Suppose  $\mathcal{A}^2$  and  $\mathcal{B}^2$  are both  $r$ -dimensional. Further suppose we have an  $F$ -linear  $\phi$  such that

$$\phi : \mathcal{A} \rightarrow \mathcal{B} \quad \text{and} \quad \phi|_{\mathcal{A}^2} : \mathcal{A}^2 \rightarrow \mathcal{B}^2$$

such that  $\phi$  is a vector space isomorphism. Then  $\phi$  will be an isomorphism of  $\mathcal{A}$  and  $\mathcal{B}$  as algebras  $\Leftrightarrow$  the following set of matrix equations holds ,

$$\begin{aligned} HD^1 H^T &= b_{11} C^1 + \dots + b_{r1} C^r \\ &\vdots \\ HD^r H^T &= b_{1r} C^1 + \dots + b_{rr} C^r \end{aligned}$$

That is ,

$$(8) \quad \begin{bmatrix} HD^1 H^T \\ \vdots \\ HD^r H^T \end{bmatrix} = H|_{\mathcal{A}^2}^T \begin{bmatrix} C^1 \\ \vdots \\ C^r \end{bmatrix}$$

**PROOF :** For any algebra  $\mathcal{A}$  , both  $\text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A} , F)$  and  $\text{Hom}_F(\mathcal{A} , \text{Hom}_F(\mathcal{A} , F))$  will be isomorphic to a space of  $n \times n$   $F$ -matrices . Denote these by  $\text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A} , F)$  and  $\text{Hom}_F(\mathcal{A} , \text{Hom}_F(\mathcal{A} , F))$  respectively . The proof will now consist mainly of three observations .

(i) The natural isomorphism between  $\text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A} , F)$  and  $\text{Hom}_F(\mathcal{A} , \text{Hom}_F(\mathcal{A} , F))$  preserves matrices , i. e. , if to  $f \in \text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A} , F)$  we associate the matrix  $M_f$  then  $t_{\mathcal{A}}(f) \in \text{Hom}_F(\mathcal{A} , \text{Hom}_F(\mathcal{A} , F))$  has the same matrix  $M_f$  associated to it (provided we use



the dual basis for  $\text{Hom}_F(\mathcal{A}, F)$ . To see why the last statement is so, recall that every  $f \in \text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A}, F)$  arises in the following way:

$$f(a_i \otimes a_j) = v_1(a_i) v_2(a_j)$$

where  $v_1, v_2 \in \text{Hom}_F(\mathcal{A}, F)$ . This correspondence between pairs of  $v_1, v_2$  in  $\text{Hom}_F(\mathcal{A}, F)$  and  $f \in \text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A}, F)$  is one-to-one. We thus write  $f = f_{v_1, v_2}$ .

The natural isomorphism  $t_{\mathcal{A}}$  from  $\text{Hom}_F(\mathcal{A} \otimes_F \mathcal{A}, F)$  to  $\text{Hom}_F(\mathcal{A}, \text{Hom}_F(\mathcal{A}, F))$  is then defined by,

$$f_{v_1, v_2} \rightarrow S_{f_{v_1, v_2}}(a) = v_1(a) v \text{ for } a \in \mathcal{A}.$$

If  $\{a_i^*\}^n \subset \text{Hom}_F(\mathcal{A}, F)$  is the dual base to  $\{a_i\}^n$ , we have  $v_2 = v_2(a_1) a_1^* + \dots + v_2(a_n) a_n^*$ .

Thus,

$$\begin{aligned} S_{f_{v_1, v_2}}(a_1) &= v_1(a_1) v_2(a_1) a_1^* + \dots + v_1(a_1) v_2(a_n) a_n^* \\ &\vdots \\ S_{f_{v_1, v_2}}(a_n) &= v_1(a_n) v_2(a_1) a_1^* + \dots + v_1(a_n) v_2(a_n) a_n^* \end{aligned}$$

And so we see that the matrix for the linear transformation  $S_{f_{v_1, v_2}}$  is the same as that for the functional  $f_{v_1, v_2}$ .

(ii) For any algebra  $\mathcal{A}$ , the mapping  $\tau_{\mathcal{A}} : \mathcal{A} \otimes_F \mathcal{A} \rightarrow \mathcal{A}^2$  defined above has  $r$  component functionals with respect to the base  $\{a_i\}_1^r$  of  $\mathcal{A}^2$ . Clearly,  $\tau_{\mathcal{A}}^k \in [\text{Ker}(\tau_{\mathcal{A}})]^{\perp}$  for  $k=1, \dots, r$ . Indeed, upon checking the isomorphism between  $[\text{Ker}(\tau_{\mathcal{A}})]^{\perp}$  and  $\text{Hom}_F(\mathcal{A}^2, F)$  one sees that  $\{\tau_{\mathcal{A}}^k\}_1^r$ , corresponds to the dual base  $\{a_i^*\}_1^r$  of  $\text{Hom}_F(\mathcal{A}^2, F)$ . By its definition, the matrix  $C^k$  is the matrix for  $\tau^k$ . Let  $\langle \{C^k\} \rangle$  denote the  $F$ -space generated by the  $\{C^k\}$ .

(iii) The mapping,

“ $\text{Hom}_F(\phi, \text{Hom}_F(\phi))$ ” :  $\text{Hom}_F(\mathcal{D}, \text{Hom}_F(\mathcal{D}, F)) \rightarrow \text{Hom}_F(\mathcal{C}, \text{Hom}_F(\mathcal{C}, F))$  induced by  $\text{Hom}_F(\phi, \text{Hom}_F(\phi))$  is given by ,

$$M_S \rightarrow HM_S H^T .$$

This follows directly from the definition of  $\text{Hom}_F(\phi, \text{Hom}_F(\phi)) : S \rightarrow \text{Hom}(\phi) \circ S \circ \phi$  and the fact that the matrices for  $\text{Hom}_F(\phi)$  and  $\text{Hom}_F(\phi) \circ S \circ \phi$  are  $H^T$  and  $HM_S H^T$  , respectively .

With the observations out of the way let us now consider the diagram (4) of Theorem (1) as a diagram of  $F$ - spaces of matrices .

We will then have that  $\phi$  is an isomorphism of algebras  $\langle = \rangle$  the following diagram commutes :

$$\begin{array}{ccc} \text{Hom}_F(\mathcal{C}, \text{Hom}_F(\mathcal{C}, F)) & \xleftarrow{I_C} & \langle \{ C^k \} \rangle \\ \uparrow H(\cdot) H^T & & \uparrow H \mid \begin{matrix} T \\ \mathcal{C}^z \end{matrix} \\ \text{Hom}_F(\mathcal{D}, \text{Hom}_F(\mathcal{D}, F)) & \xleftarrow{I_D} & \langle \{ D^k \} \rangle \end{array}$$

But the commutation is just the equation (8) . ■

For the reader who is not too sure about all these diagrams, I will now include a short computational derivation of the equation (8) . Although this second proof is straight-forward , it does not yield as much insight into “what is going on” as the first proof does . For instance ; the equation (8) indicates that the  $F$ -linear map  $H(\cdot) H^T : \langle \{ D^k \} \rangle \rightarrow \langle \{ C^k \} \rangle$  is an adjoint , but there is no way of seeing what duality is with out the first derivation .

*PROOF* : ( Alternate of theorem 2 ) . Since  $\phi(a_i) = \sum_{j=1}^n b_{ij} \beta_j$  multiplying out gives ,

$$[\phi(a_i) \cdot \phi(a_j)] = H [\beta_i \beta_j] H^T$$

Using the fact that  $H(\cdot) H^T$  acts linearly on  $F$ -linear combinations of

matrices we then have ,

$$(*) \quad [ \phi(a_i) \cdot \phi(a_j) ] = H [ \beta_i \beta_j ] H^T = \sum_{k=1}^r H D^k H^T \beta_k .$$

On the other hand ,  $\phi(a_i) = \sum_{j=1}^r b_{ij} \beta_j$  for  $i = 1, \dots, r$  gives ,

$$(**) \quad \sum_{k=1}^r C^k \phi(a_k) = \sum_{j=1}^r b_{kj} \beta_j = \sum_{k=1}^r \left( \sum_{j=1}^r b_{jk} C^j \right) \beta_k .$$

But  $\phi$  is an isomorphism of algebras  $\langle = \rangle$

$$[ \phi(a_i) \cdot \phi(a_j) ] = \sum_{k=1}^r C^k \phi(a_k) .$$

Therefore (\*) and (\*\*) give upon equating coefficients :

$$\phi \text{ is an isomorphism } \langle = \rangle H D^k H^T = \sum_{j=1}^r b_{jk} C^j, \text{ for } k=1, \dots, r$$

This is (8) .

*Mathematics Department  
U.S. Naval Academy  
Annapolis , Md. , U.S.A .*

*(Received on november, 1, 1969)*