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SURJECTIVITY AND FIXED POINT THEOREMS

(Preliminary communication)

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Let X be a LCS (Hausdorff locally convex space), C a closed convex subset of X , $\text{exp } C$ the set of all subsets of C and A a partially ordered set such that:
 $\forall a, b \in A \exists \max \{a, b\} \in A$. A mapping μ :
 $\text{exp } C \rightarrow A$ is said to be a mnc (measure of noncompactness) on C if $\mu(\overline{\text{co}} M) = \mu(M)$ for all $M \in \text{exp } C$.

Consider the following conditions on a mnc μ on C :

- (1) $M \subseteq N \subseteq C$ implies $\mu(M) \leq \mu(N)$;
- (2) $M, N \in \text{exp } C$ implies $\mu(M \cup N) = \max \{ \mu(M), \mu(N) \}$;
- (3) $M \in \text{exp } C$ implies $\mu(-M) = \mu(M)$ (for C symmetric);
- (4) $M \in \text{exp } C$ implies $\mu(\{0\} \cup M) = \mu(M)$ (for C containing 0);
- (5) $x \in C$ and $M \in \text{exp } C$ together imply $\mu(x + M) = \mu(M)$ (for C a cone).

On any NLS (normed linear space) X there are two natural mnc's η_X and α_X defined by $\eta_X(M) = \inf \{ \varepsilon > 0 : M \text{ can be covered by a finite number of } \varepsilon\text{-balls} \}$,
 $\alpha_X(M) = \inf \{ \varepsilon > 0 : M \text{ has a finite } \varepsilon\text{-covering} \}$ (here $A = [0, +\infty]$).

Let $F: C \rightarrow X$ be a continuous mapping and μ a

mnc on $\overline{C} (C \cup F(C))$. We shall write $F \in \mathcal{D}(\mu)$ $\equiv \mathcal{D}(\mu, C)$ if $M \subseteq C$ and $\mu(F(M)) \geq \mu(M)$ together imply that M is relatively compact.

Theorem 1. Let X be a LCS, $O \in C$ an open subset of X , $F: \overline{C} \rightarrow X$ a mapping such that $F \in \mathcal{D}(\mu, \overline{C})$ where μ is a mnc on $\overline{C} (C \cup F(C))$ satisfying Conditions (1) and (4). If $Fx \neq tx$ for all $x \in \partial C$ ($=$ the boundary of C) and all $t > 1$, then F has a fixed point in \overline{C} .

Theorem 2. Let X be a NLS, μ a mnc defined on bounded subsets of X and satisfying Conditions (2), (3) and (5).

Let $\{C_n\}_{n=1}^{\infty}$ be a sequence of open, symmetric, strictly starshaped (i.e., $[0, 1)x \subseteq C_n$ for each $x \in \partial C_n$) subsets of X such that $dist(0, \partial C_n) \rightarrow \infty$. Let $F: X \rightarrow X$ be a mapping such that $F \in \mathcal{D}(\mu)$, $\|\phi(x)\| \rightarrow \infty$ as $\|x\| \rightarrow \infty$, $x \in \bigcup_{n=1}^{\infty} \partial C_n$. Suppose that $\phi(-x) \neq t\phi(x)$ for all $x \in \bigcup_{n=1}^{\infty} \partial C_n$ and all $t > 0$.

(Here $\phi = I - F$.) Then $I - F$ is surjective.

Corollary 1. Let X be a NLS and C, F, μ as in Theorem 1. Suppose that for each $x \in \partial C$ there is a function $\varphi_x: [0, +\infty] \rightarrow [0, +\infty]$ such that $a, b > 0$ implies $\varphi_x(a+b) > \varphi_x(a) + \varphi_x(b)$. If $\varphi_x(\|Fx\|) \leq \varphi_x(\|x\|) + \varphi_x(\|x - Fx\|)$ for each $x \in \partial C$, then F has a fixed point in \overline{C} .

Corollary 2. Let X, C, F, μ be as in Theorem 1. Suppose that $0 \in C$ and that C is strictly starshaped. If $F(\partial C) \subseteq \bar{C}$, then F has a fixed point in \bar{C} .

Corollary 3. Let X be a NLS, μ a mnc on bounded subsets of X satisfying Conditions (1), (4) and (5), $F: X \rightarrow X$ a mapping such that $F \in \mathcal{D}(\mu)$. Let $\{C_n\}_{n=1}^{\infty}$ be a sequence of open subsets of X containing 0 and $\{a_n\}_{n=1}^{\infty}$ a positive sequence tending to $+\infty$ as $n \rightarrow +\infty$, such that $\|F_x\| \leq \|x\| - a_n$ for each $x \in \partial C_n$ ($n \geq 1$). Then $I - F$ is surjective.

Corollary 4. Let X be a NLS, μ a mnc as in Theorem 2, $F: X \rightarrow X$ a mapping with $F \in \mathcal{D}(\mu)$. Suppose that F has an asymptotic derivative $F'(\infty)$ such that $I - F'(\infty)$ is an (topological) isomorphism of X . Then $I - F$ is surjective.

Remarks. 1. Analogous results hold for mappings of the form $T - S$.

2. Some results of [3] and [4] (and [1]) can (and will) be proved for mappings of this type.

3. For some mnc's μ , if $F: X \rightarrow X$ (X a NLS) is in a certain subclass of $\mathcal{D}(\mu)$ and has an asymptotic derivative $F'(\infty)$, then $F'(\infty) \in \mathcal{D}(\mu)$.

4. Some mnc's induce, in a natural way, the mnc's on factor spaces.

5. If X is a NLS and $\sigma_X^{\sim}(\epsilon) = \sup \left\{ \left\| \frac{x+y}{2} \right\| : \right.$

$\{x, y \in X, \|x - y\| \geq \varepsilon, \|x\|, \|y\| \leq 1\}$,
 then $\frac{1}{2} \alpha_X \leq \alpha_X \leq \alpha_X^*(1) \cdot \alpha_X \leq \alpha_X$.

A detailed study of these problems including complete references and applications to nonlinear integral and differential equations will be given in subsequent papers.

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