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ON HOMOMORPHISM PERFECT GRAPHS

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<u>Introduction</u>. The homomorphism graph is defined as a graph which arises in a natural way on the set of all endomorphisms of a graph. Here we are interested in the question, under which conditions a graph is isomorphic with its homomorphism graph.

We shall need the following definitions:

Let X be a set. Let M be a set of mappings of X into itself. The pair (X,M) is called a transformation monoid if the identity mapping of X belongs to M and the set M is closed under composition.

Two transformation monoids (X, M) and (Y, N) are isomorphic if there exists a 1-1 mapping $F: X \longrightarrow Y$ such that the mapping $F: M \longrightarrow N$ defined by $\mathcal{F}(f)(F(x)) = F(f(x))$ is an algebraic isomorphism of monoids (M, N).

A transformation monoid (X, M) is called abstract if (X, M) is isomorphic with (M, L_M) where $L_M = \{L_f \mid f \in M\} \ (L_f : M \longrightarrow M \text{ is defined by: } L_g(g) = f \circ g\}.$

We shall use the following well-known lemma (see

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Lemma. A transformation monoid (X, M) is abstract if and only if there exists $x_0 \in X$ such that for every $x \in X$ there exists exactly one $f \in M$ such that $f(x_0) = x$ (x_0 is called an exact source).

A graph (X, R) is a set X with relation $R \subset X \times X$. Concerning graphs we use the notations of [1]. Let us remark that the monoid C(X,R) of all compatible mappings (homomorphisms) of the graph (X, R) into itself is understood here in its actual form as a transformation monoid.

All the graphs concerned here are finite.

The following definition was suggested by Z. Hedrlín.

<u>Definition</u>. Let (X, R) be a graph. Define the homomorphism graph (C(X, R), M) of the graph (X, R) as follows:

 $f,q\in C(X,R)$, then $(f,q)\in M \iff (f(x),q(x))\in R$ for every $x\in X$. Note that this graph is one of the graphs related to tensor products, see [2]. We say that the graph (X,R) is homomorphism perfect if it is isomorphic to the graph (C(X,R),M). The property of being homomorphism perfect is studied here in its relationship to the abstractness of the transformation monoid (X,C(X,R)).

Theorem 1. Let (X, \mathbb{R}) be a homomorphism perfect graph. Then the transformation monoid $(X, C(X, \mathbb{R}))$ is abstract.

<u>Preof.</u> Let F be an isomorphism of (X,R) onto (C(X,R),M) - the homomorphism graph of (X,R).

Consider C(C(X,R),M). Clearly, $L_{f} \in C(C(X,R),M)$ for every $f \in C(X,R)$ $((f_{i},f_{j}) \in M)$ implies ${}^{2}L_{f}(f_{i},f_{j}) = (f \circ f_{i}, f \circ f_{j}) \in M$ as f is compatible). Further, $f_{i} \neq f_{j}$ implies $L_{f} \neq L_{f}$.

Thus card $C(X,R) = card C(C(X,R),M) = card L_M$. Since $L_M \subset C(C(X,R),M)$, $L_M = C(C(X,R),M)$ holds. Thus it remains to prove that the transformation monoids (X,C(X,R)) and (C(X,R),C(C(X,R),M)) are isomorphic.

We shall show that this isomorphism is carried by the mapping F, i.e. that the mapping \mathcal{F} defined by $\mathcal{F}(f)(F(x)) = F(f(x))$ is an algebraic isomorphism.

First, we shall prove that $f \in C(X, \mathbb{R})$ implies $\mathcal{F}(f) \in C(C(X, \mathbb{R}), \mathbb{M})$. Let $(f_i, f_j) \in \mathbb{M}$. Then $(\mathcal{F}(f)(f_i), \mathcal{F}(f)(f_j)) = (\mathcal{F}(f)\mathcal{F}(\mathcal{F}^{-1}(f_i)), \mathcal{F}(f)(f_j)) = (\mathcal{F}(f)\mathcal{F}(f)(f_i))$

$$\begin{split} \mathcal{F}(\mathbf{f})\,\mathbf{F}(\mathbf{F}^{-1}(\mathbf{f}_{\underline{i}}))) &= (\mathbf{F}(\mathbf{f}\,(\mathbf{F}^{-1}(\mathbf{f}_{\underline{i}}\,)))\,,\,\mathbf{F}(\mathbf{f}\,(\mathbf{F}^{-1}(\mathbf{f}_{\underline{i}}\,)))) \in \mathcal{M} \quad. \end{split}$$
 Evidently $\mathcal{F}(\mathbf{f} \circ \mathbf{g}) &= \mathcal{F}(\mathbf{f}) \circ \mathcal{F}(\mathbf{g}_{\underline{i}}) \qquad \text{for every } \mathbf{f},\,\mathbf{g}_{\underline{i}} \,. \end{split}$

Further, $f \neq g$ implies $\mathcal{F}(f) = \mathcal{F}(g)$ and consequently \mathcal{F} is 1-1. Q.E.D.

Theorem 1 does not give a sufficient condition for homomorphism perfect graphs. We construct a graph (even a class of graphs) possessing an abstract transformation monoid of homomorphisms into itself which is not a homomorphism perfect graph.

Example. Let m be an even number. Define the graph (X_m, \mathbb{R}) by $X_m = \{1, ..., m\}$ and $\mathbb{R} = \{(1, 1), (2, 2), \dots, (2, n)\}$

 $(3,3),...,(\frac{m}{2},\frac{m}{2}),(\frac{m}{2}+1,\frac{m}{2}+2),(\frac{m}{2}+3,\frac{m}{2}+3),...$ $...,(m-1,m),(m,\frac{m}{2}+1),(1,\frac{m}{2}+1),(2,\frac{m}{2}+2),...,(\frac{m}{2},m)\}.$ Evidently $C(X,R) = \{c_1,...,c_{\frac{m}{2}},id,f,f^2,...,f^{m-1}\}$, where $c_i(j) = i$ for all j = 1,...,m; f(i) = i+1 for $i \neq \frac{m}{2},m$; $f(\frac{m}{2}) = 1$, $f(m) = \frac{m}{2}+1$. Clearly $f^m = id$. Let F be an isomorphism of (X,R) onto (C(X,R),M). We have $(c_i,c_i) \in M$ for all $i = 1,...,\frac{m}{2}$, thus $F\{1,...,\frac{m}{2}\} = \{c_1,...,c_{\frac{m}{2}}\}$. Thus there exists an i, $\frac{m}{2} \leq i \leq m$ such that F(i) = id, therefore $(c_{i-\frac{m}{2}},id) \in M$, i.e. $(i-\frac{m}{2},j) \in R$ holds for every j = 2,...,m. This is a contradiction. (Evidently C(X,R) is abstract monoid, any $i = \frac{m}{2}+1,...,m$ can serve as an exact source.)

In the following theorem we give a sufficient condition for a graph to be homomorphism perfect.

Theorem 2. Let (X, R) be a graph. If the transformation monoid (X, C(X, R)) is abstract and commutative, then the graph (X, R) is homomorphism perfect.

<u>Proof.</u> There exists an $x_o \in X$ which is not an exact source of (X, C(X, R)). Define the mapping $F: X \longrightarrow C(X, R)$ by F(x) = f, where $f(x_o) = x$ (such f is determined uniquely). We shall prove that F is an isomorphism of (X, R) onto (C(X, R), M). Evidently F is 1-1.

Let $(x_1, x_2) \in \mathbb{R}$ and let $F(x_i) = f_i$, i = 1, 2.

Then

$$\begin{split} & \left(\operatorname{F}(x_1)(x) \,,\, \operatorname{F}(x_2)(x) \right) = \left(\operatorname{f}_1(x) \,,\, \operatorname{f}_2(x) \right) = \left(\operatorname{f}_1(\operatorname{F}(x)(x_0)) \,,\, \\ & \operatorname{f}_2(\operatorname{F}(x)(x_0)) = \left(\operatorname{F}(x) \left(\operatorname{f}_1(x_0) \right) ,\, \operatorname{F}(x) \left(\operatorname{f}_2(x_0) \right) \right) = \left(\operatorname{F}(x)(x_1) ,\, \operatorname{F}(x)(x_2) \right) \end{split}$$

and as F is a compatible mapping $(F(x)(x_1), F(x)(x_2)) \in \mathbb{R}$ for all $x \in X$. Thus $(F(x_1), F(x_2)) \in \mathbb{M}$. Let $(f_1, f_2) \in \mathbb{M}$. Putting $x = x_0$ we get $(x_1, x_2) \in \mathbb{R}$, Q.E.D.

A trivial consequence follows from the last part of our proof: Let (X,R) be a graph, (C(X,R),M) its homomorphism graph. If the transformation menoid (X,C(X,R)) is abstract, then the graph (C(X,R),M) is isomorphic with a spanning subgraph of (X,R).

Now, the functional graphs will be studied. A graph (X, R) is called functional if for every $x \in X$ there exists at most one $y \in X$ such that $(x, y) \in R$ (see e.g. [3]).

Let \mathcal{R} , m be integers, $1 \leq \mathcal{R} \leq m$. Define the graph $G_{k,m}=(X_m,\mathbb{R})$ by $X_m=\{1,\ldots,m\}$, $\mathbb{R}=\{(1,2),(2,3),\ldots,(m-1,m),(m,k+1)\}$. Evidently $G_{k,m}$ is functional.

Theorem 3. Let (X, R) be a functional graph. (X, R) is homomorphism perfect if and only if there are integers & and m $(1 \le k \le m)$ such that $(X, R) = G_{k,m}$.

<u>Proof.</u> We shall prove that $G_{k,m}$ is homomorphism perfect. Evidently $C(G_{k,m}) = \{id, f, f^2, ..., f^{m-1}\}$,

where f is defined by f(i) = i + 1, i = 1,..., m-1; f(m) = k. Since $(X_m, C(G_{k,m}))$ is abstract (1 is an exact source) and commutative, $G_{k,m}$ is a homomorphism perfect graph. Let (X, R) be a functional graph $(X, R) \neq G_{R,n}$ for any \Re , m. We shall prove that (X, C(X, R)) is not abstract, i.e. (X, R) is not homomorphism perfect. Suppose that (X,R) is abstract. Let x_0 source. Let x1,..., xn be all points of X such that there does not exist $(x_i, x_i) \in \mathbb{R}$, i = 1, ..., p. Clearly $n \ge 2$. Evidently, $x_0 \in \{x_1, ..., x_n\}$. For every i = 1, ..., p there exist k_i , m_i such that $G_{\mathbf{k}_i,m_i}$ is a subgraph of (X , R). ($G_{\mathbf{k}_i,m_i} = (\{x_1,x_2,\dots$ $\cdots, {}_{i} \times_{m_{i}} {}^{3}, \, {}^{5}({}_{i} \times_{1}, {}_{i} \times_{2}), \ldots, ({}_{i} \times_{m_{i}-1}, \times_{m_{i}}), \, ({}_{i} \times_{m_{i}}, {}_{i} \times_{m_{i}+1}) \, {}^{3})$ where $i \times_1 = \times_1$.) Evidently, $\{i \times_{k_1+1}, i \times_{k_2+2}, \dots, i \times_{m_i}\} = \overline{X}$ (card $\overline{X} = h$) is the same set for all i = 1, ..., p. (If the epposite holds then there exist two sets $A \subset X$, $B \subset X$ such that $A \cap B = \emptyset$, $A \cup B = X$ $(a,b) \notin \mathbb{R}$ and $(b,a) \notin \mathbb{R}$ for every $a \in A$, $b \in B$. Assume that $x_o \in A$. Then (B, R/B) must be rigid (see [1]). This is a contradiction.)

Denote the points of \overline{X} by $\overline{x}_1, \ldots, \overline{x}_n$. For every x_i ($i = 1, \ldots, p$) there exists t ($1 \le t \le n$) such that $x_{k_1+1} = \overline{x}_t$. We say that x_i belongs to \overline{x}_t . Suppose that there exist x_{p_1}, \ldots, x_{p_m} ($m \ge 2, 1 \le p_1 < p_2 < \ldots < p_m \le p$) such that x_{p_1}, \ldots belongs to the same \overline{x}_t . Let $x_k = max(k_{p_1}, \ldots, k_{p_m})$.

We shall prove $\mathbf{x}_n \neq \mathbf{x}_0$. Let us define \mathbf{f}_0 as follows: $\mathbf{f}_0(\mathbf{i} \times_{\mathbf{k}_i}) = \mathbf{x} \times_{\mathbf{k}_k}, \mathbf{f}_0(\mathbf{i} \times_{\mathbf{k}_i-1}) = \mathbf{x} \times_{\mathbf{k}_k-1}, \dots, \mathbf{f}_0(\mathbf{i} \times_1) = \mathbf{x} \times_{\mathbf{k}_k-1},$

for all $i=p_1,\ldots,p_m$ and $f_0=id$ for the rest of X. Evidently, f_0 is an homomorphism and since $id(x_n)=x_n$, $f_0(x_n)=x_n$, x_n is not an exact source. Clearly there holds: $k_1< k_n$, $j\in\{p_1,\ldots,p_m\}$ implies x_j is not an exact source as there is no homomorphism f such that $f(x_j)=x_n$. The mapping f_0 also shows that no x_j ($j\in\{1,\ldots,p\}\setminus\{p_1,\ldots,p_m\}$) is an exact source.

Hence it follows that for every \overline{x}_{i} (t=1,...,h) there exists at most one x_{i} (i=1,...,p) belonging to \overline{x}_{i} . Suppose that x_{j} $j \in \{1,...,p\}$ is an exact source (i.e. $x_{j} = x_{0}$). Let $k_{i} = q_{i}h + k_{i}$ where q_{i} , k_{i} are positive integers $k_{i} < h$, $h = m_{i} - k_{i}$, i=1,...,p. Define f' by:

$$\begin{split} &\mathbf{f}'(_{i} \times_{\mathbf{A}_{i}}) = _{i} \times_{m_{i}} \;, \quad \mathbf{f}'(_{i} \times_{\mathbf{A}_{i}-1}) = _{i} \times_{m_{i}-1} \;, \; \cdots \;, \\ &\mathbf{f}'(_{i} \times_{29c_{i}-m_{i}}) = _{i} \times_{m_{i}} \;, \; \cdots \;, \\ &\cdots \qquad \qquad \cdots \qquad \cdots \qquad \cdots \;, \\ &\mathbf{f}'(_{i} \times_{\mathbf{A}_{i}+1} *_{\mathbf{A}_{i}-\mathbf{A}_{i}m_{i}}) = _{i} \times_{m_{i}} \;, \; \cdots \;, \; \mathbf{f}'(_{i} \times_{\mathbf{A}}) = _{i} \times_{m_{i}-n_{i}+1} \end{split}$$

for all $i \neq j$ and f' = id for the rest of X. Evidently, f' is a homomorphism. Since $id(x_j) = x_j$. $f'(x_j) = x_j$, we have a contradiction. This proof holds for all j = 1, ..., p, hence (X, R) is not abstract, Q.E.D. I should like to thank most sincerely to Z. Hedrlín, J. Nešetřil and T. Wichs for their kind advices and help during the writing of this note.

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