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ON BINDABILITY OF PRODUCTS AND JOINS OF CATEGORIES

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A category is called binding if it is concrete and every concrete category can be fully embedded into it.

(A full embedding  $F: K \rightarrow L$  is a faithful functor<sup>1)</sup> which maps  $K$  onto a full subcategory of  $L$ .)

The existence of a binding category is proved in [1].

We investigate in this paper products and joins of categories from the point of view of the property "to be a binding category".

The product  $K \times L$  of categories  $K, L$  is defined as follows:

objects of  $K \times L$  are all couples  $(X, Y)$  where  $X$  ( $Y$  respectively) is an object of  $K$  ( $L$  respectively),

morphisms of  $K \times L$  from  $(X, Y)$  into  $(U, V)$  are all couples  $(f, g)$ , where  $f: X \rightarrow U$  ( $g: Y \rightarrow V$  resp.) is a morphism of  $K$  ( $L$  resp.),

$$(f, g)(h, j) = (fh, gj) .$$

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1)  $F$  must not be one-to-one mapping of a class of objects of  $K$  into a class of objects of  $L$ .

The join  $K \vee L$  of the categories  $K, L$  is defined as follows:

objects of  $K \vee L$  are all couples  $(X, i)$ , where either  $X$  is an object of  $K$  and  $i = 0$  or  $X$  is an object of  $L$  and  $i = 1$ , morphisms of  $K \vee L$  from  $(X, i)$  into  $(Y, j)$  are all couples  $(f, k)$ , where either  $i = j = k = 0$  and  $f: X \rightarrow Y$  is a morphism of  $K$  or  $i = j = k = 1$  and  $f: X \rightarrow Y$  is a morphism of  $L$ ,  $(f, 0)(g, 0) = (fg, 0)$ ,  $(f, 1)(g, 1) = (fg, 1)$ .

We shall prove the following theorems:

Theorem 1.  $K \vee L$  is binding if and only if either  $K$  or  $L$  is binding.

Theorem 2. If  $K \times L$  is binding then both  $K$  and  $L$  have a rigid object (i.e. an object, only endomorphism of which is the identity).

Theorem 3. If  $K$  is binding and a concrete category  $L$  has a rigid object then  $K \times L$  is binding.

Theorem 4. If  $K \times L$  is binding and  $L$  is a thin category (i.e. there is at most one morphism from  $X$  into  $Y$  for every two objects  $X, Y$  of  $L$ ) then  $K$  is a binding category.

The general problem whether the bindability of  $K \times L$  implies the bindability of either  $K$  or  $L$  is, as far as we know, unsolved.

This paper is divided into three paragraphs: in § 1 we shall prove Theorems 1,2,3. The proof of the theorem 4 (§ 3) is based upon a theorem on EO-embeddings and maximal cate-

gories which are defined and investigated in § 2.

§ 1. First we give three obvious lemmas:

Lemma 1.  $K \times L$  is concrete if and only if both  $K$  and  $L$  are concrete.

Lemma 2.  $K \vee L$  is concrete if and only if both  $K$  and  $L$  are concrete.

Lemma 3. If  $F: K \rightarrow L$  is a full embedding,  $K$  is binding and  $L$  is a concrete category then  $L$  is binding.

Proof of Theorem 1. The functors  $F: K \rightarrow K \vee L$  and  $G: L \rightarrow K \vee L$  defined by

$$F(X) = (X, 0) , \quad F(f) = (f, 0) ,$$

$$G(X) = (X, 1) , \quad G(f) = (f, 1)$$

are full embeddings. Therefore if either  $K$  or  $L$  is binding then  $K \vee L$  is binding in view of Lemmas 2,3.

Let  $K \vee L$  be a binding category. Let the category  $M$  be obtained from  $K \vee L$  by a formal addition of an initial object  $0$ . It follows that  $M$  is binding from Lemma 3.

Because  $K \vee L$  is binding, there is a full embedding  $F: M \rightarrow K \vee L$ . If  $F(0) \in K^0 \times \{0\}$  then it is evident that  $F$  maps  $M^0$  into  $K^0 \times \{0\}$ . Therefore  $G: M \rightarrow K$  defined by

$G(X) = Y$  if and only if  $F(X) = (Y, 0)$  is a full embedding.

This implies that  $K$  is binding by Lemma 3.

Similarly, if  $F(0) \in L^0 \times \{1\}$  then there is a full embedding from  $M$  into  $L$ , which implies that  $L$  is

binding.

Proof of Theorem 2. It is evident that a binding category has a rigid object. If  $(X, Y)$  is a rigid object of  $K \times L$  then  $X$  ( $Y$  resp.) is a rigid object of  $K$  ( $L$  resp.).

Proof of Theorem 3. Let  $Y$  be a rigid object of  $L$ . Then  $F: K \rightarrow K \times L$  defined by

$$F(X) = (X, Y), \quad F(f) = (f, \text{Id } Y)$$

is a full embedding. Therefore  $K \times L$  is binding by Lemma 3.

§ 2. In this paragraph we deal with EO-embeddings and maximal categories:

Definition. A functor  $F: K \rightarrow L$  is called an EO-embedding if  $F$  is a one-to-one mapping of  $M_K(X, Y)$  onto  $M_L(F(X), F(Y))$  for every two objects  $X, Y$  of  $K$  with  $M_K(X, Y) \neq \emptyset$ .

Next two lemmas are obvious:

Lemma 4. A composition of EO-embeddings is an EO-embedding.

Lemma 5. A full embedding is an EO-embedding.

Definition. A category  $K$  is called maximal if every EO-embedding  $F: K \rightarrow L$  is a full embedding.

The main result of this paper is

Theorem 5. Every concrete category is a full subcategory of a maximal concrete category.

Proof. Denote by  $\text{Set}(0, 1)$  the following category: objects of  $\text{Set}(0, 1)$  are all sets  $X$  such that  $0, 1 \in X$ ,

morphisms of  $\text{Set}(0, 1)$  from  $X$  into  $Y$  are all mappings  $f: X \rightarrow Y$  such that  $f(0) = 0, f(1) = 1$ , the composition of morphisms is the composition of mappings.

Let  $K$  be a concrete category. Since  $\text{Set}(0, 1)$  is isomorphic to the category of all sets and all their mappings we can suppose, without loss of generality, that  $K$  is a subcategory of  $\text{Set}(0, 1)$ .

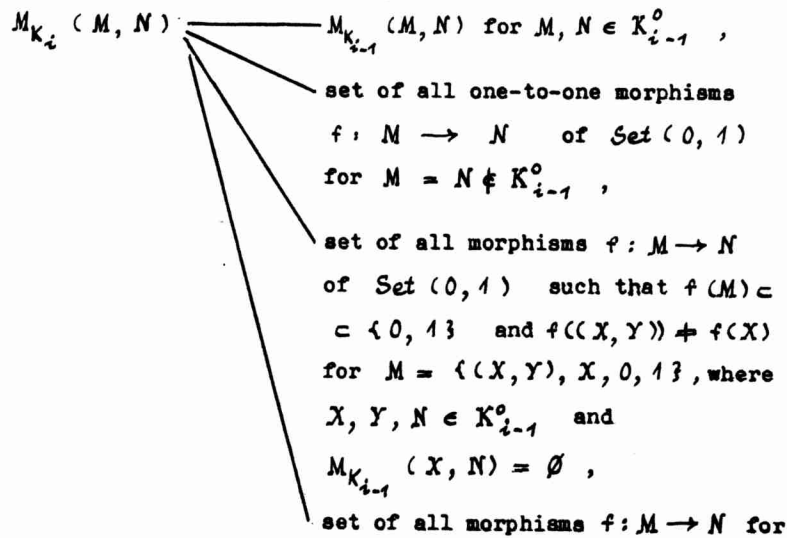
We shall construct a sequence  $K_0, K_1, K_2, \dots$  of subcategories of  $\text{Set}(0, 1)$  as follows:

1)  $K = K_0$ .

2) If  $K_{i-1}$  is defined then

objects of  $K_i$  are all objects of  $K_{i-1}$  together with all sets  $\{(X, Y), X, 0, 1\}$ , where  $X, Y$  are objects of  $K_{i-1}$ ;

if  $M, N$  are objects of  $K_i$  then



$$M = \{(X, Y), X, 0, 1\}, \text{ where} \\ X, Y, N \in K_{i-1}^0 \text{ and} \\ M_{K_{i-1}}(X, N) \neq \emptyset, \\ \emptyset \text{ in the other cases.}$$

The composition of morphisms is the composition of mappings.

It is evident that all  $K_i$  are subcategories of  $\text{Set}(0, 1)$  and  $K_{i-1}$  is a full subcategory of  $K_i$  for every natural  $i$ .

Denote the union of the categories  $K_0, K_1, \dots$  by  $L$ .  $L$  is a subcategory of  $\text{Set}(0, 1)$  and  $K$  is a full subcategory of  $L$ .

We shall prove that  $L$  is a maximal category:

Let  $F: L \rightarrow M$  be an EO-embedding. Let  $X, Y$  be objects of  $L$  such that  $M_L(X, Y) = \emptyset \neq M_M(F(X), F(Y))$ .

There is a natural  $n$  such that  $X, Y \in K_n^0$ .

Let  $f$  be a morphism of  $M$  from  $F(X)$  into  $F(Y)$ .

A mapping  $q: \{(X, Y), X, 0, 1\} \rightarrow X$  defined by  $q((X, Y)) = q(X) = q(0) = 0, q(1) = 1$  is a morphism of  $K_{n+1}$ . Since there is a morphism of  $K_{n+1}$  from  $\{(X, Y), X, 0, 1\}$  into  $Y$  there is a morphism  $h: \{(X, Y), X, 0, 1\} \rightarrow Y$  of  $K_{n+1}$  such that  $F(h) = f \circ F(q)$ .

Let  $m, n$  be morphisms of  $K_{n+1}$  from  $\{(X, Y), X, 0, 1\}$  into itself defined by

$$m((X, Y)) = m(X) = (X, Y), m(X) = m((X, Y)) = X.$$

Then it is  $q \circ m = q$ , and  $h \circ m \neq h$  and the following inequality holds:

$$F(h \circ m) \neq F(h) \circ F(m) = F(h) \circ F(m) = f \circ F(q) \circ F(m) =$$

$$= fF(qn) = fF(qm) = fF(q)F(m) = F(h)F(m) = F(hm).$$

This is a contradiction. Therefore  $F$  is a full embedding.

Thus we have proved that  $L$  is a maximal category.

As a corollary to the theorem 5, to Lemma 3 and to the existence of binding category we have

Theorem 6. There is a maximal binding category.

§ 3. The proof of Theorem 4 is based upon the next lemma:

Lemma 6. Let  $K$  be a category and  $L$  be a thin category. Then there is an EO-embedding from  $K \times L$  into  $K$ .

Proof. A functor  $F: K \times L \rightarrow K$  defined by  $F((X, Y)) = X, F((f, g)) = f$  is an EO-embedding, because if  $(X, Y), (U, V)$  are objects of  $K \times L$  then either  $M_L(Y, V) = \emptyset$  and  $M_{K \times L}((X, Y), (U, V)) = \emptyset$  or  $M_L(Y, V)$  is a one-point set and  $F$  is a one-to-one correspondence between  $M_{K \times L}((X, Y), (U, V)) = M_K(X, U) \times M_L(Y, V)$  and  $M_K(X, U)$ .

Proof of Theorem 4. Let  $M$  be a maximal binding category. Since  $K \times L$  is a binding category, there is a full embedding  $F: M \rightarrow K \times L$ . If  $G: K \times L \rightarrow K$  is an EO-embedding then  $GF: M \rightarrow K$  is an EO-embedding. Since  $M$  is maximal,  $GF$  is a full embedding. Therefore  $K$  is a binding category.

#### R e f e r e n c e s

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