

# Werk

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## UNIVERSITAS COMENIANA ACTA MATHEMATICA UNIVERSITATIS COMENIANAE XLVIII—XLIX — 1986

# RELATION BETWEEN CHOMSKY HIERARCHY AND COMMUNICATION COMPLEXITY HIERARCHY

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#### **Abstract**

We study communication complexity from the point of view of the Language theory. We establish the relation between Chomsky hierarchy and the hierarchy of the language families determined by communication complexity.

### Introduction

We shall study a new complexity measure in Language theory which, informally, can be defined in the following way. Suppose a language  $L \subseteq (\{0, 1\}^2)^*$  is to be recognized by two distant computers. Each computer receives half of the input bits, and the computation proceeds using some protocol for communication between these two computers. The minimal number of bits that must be exchanged in order to successfully recognize  $L \subseteq \{0, 1\}^{2n}$ , minimized over all partitions of the input bits into two equal parts, and considered as a function of n, is called the communication complexity of L. The communication complexity defined in such a way provides a direct lower bound for minimal bisection width [1] of any chip recognizing L which was the main reason to introduce it.

The hierarchy of language families determined by communication complexity was first studied in [5], where it was shown that most languages cannot be recognized in n-1 communication complexity, and that, for  $0 \le f(n) \le \log_2 n$ , f(n) + 1 communication complexity is more powerful than f(n) communication complexity. But the proofs of these results were insufficient what is pointed out in [2]. In [3] it is shown that a substantionally larger number of languages can be recognized within communication complexity f(n) than within communication complexity cf(n), for a c < 1. Closely related hierarchy results according to special types of communication complexity were obtained in [3, 4, 5].

We shall deal with the general model of communication complexity from the standpoint of Language theory. The results concerning the relation between Chomsky hierarchy and communication complexity hierarchy will be obtained.

This paper is divided in two sections. In Section 1 the basic definitions are given. We shall establish the relation between the Chomsky hierarchy and the communication complexity hierarchy in Section 2.

#### 1. Definitions

Now, let us formally define the model of communication complexity in the same way as in [5].

A protocol on 2n inputs is a pair  $D_n = (\Pi, \Phi)$ , where

- 1.  $\Pi$  is a partition of  $\{1, 2, ..., 2n\}$  into two equal sets  $S_I$  and  $S_{II}$ . This corresponds to the partition of the input into the two halves for the two computers.
- 2.  $\Phi$  is a function from  $\{0, 1\}^n \times \{0, 1, \$\}^*$  to  $\{0, 1\}^* \cup \{\text{accept, reject}\}$ . Intuitively, the first argument of  $\Phi$  is the local part of the input, while the second argument involves all previous messages, with \$ serving as the delimiter between messages. The result of  $\Phi$  is the next message. For a given string c in  $\{0, 1, \$\}^*$ , the function  $\Phi$  has the property that for no two y, y' in  $\{0, 1\}^n$  is the case that  $\Phi(y, c)$  is a proper prefix of  $\Phi(y', c)$ . This prefix-freeness property assures that the exchanged messages are self-delimiting, and that no extra "end of transmission" symbol is required.

The computation of  $D_n$  on an input word x in  $\{0, 1\}^{2n}$  is the string  $c = c_1 \$ c_2 \$ \dots \$ c_k \$ c_{k+1}$ , where  $k \ge 0$ ,  $c_1, \dots, c_k \in \{0, 1\}^*$ ,  $c_{k+1} \in \{\text{accept, reject}\}$ , such that for each integer j,  $0 \le j \le k$ , we have

- (1) if j is even, then  $c_{j+1} = \Phi(x_1, c_1 \$ c_2 \$ ... \$ c_j)$ , where  $x_1$  is the input x restricted to the set  $S_1$  and
- (2) if j is odd, then  $c_{j+1} = \Phi(x_{11}, c_1 \$ c_2 \$ ... \$ c_j)$ , where  $x_{11}$  is the input x restricted to the set  $S_{11}$ .

Let  $L \subseteq \{0, 1\}^*$  be a language and  $\Delta = \langle D_n \rangle$  be a sequence of deterministic protocols. We say  $\Delta$  recognizes L if, for each n and each x in  $\{0, 1\}^{2n}$ , the computation of  $D_n$  on input x is finite, and ends with accept iff  $x \in L$ . Let f be a function from integers to integers. We say that L is recognizable within communication f,  $L \in COMM(f)$ , if there is a sequence of protocols  $\Delta = \langle D_n \rangle$  such that for all n and each x in  $\{0, 1\}^{2n}$  the computation of  $D_n$  on x is of the length at most f(n).

Now, we shall formulate an assertion which will show the power of the partition in protocols and which will be a nice example of the computation of a protocol. Before we give this result we call attention to the fact that every

language is recognizable within communication n, where 2n is the length of the input word.

**Lemma 1.** Let  $\Pi$  be the partition of  $\{1, 2, ..., 2n\}$  into sets  $S_1 = \{1, 2, ..., n\}$  and  $S_{11} = \{n + 1, n + 2, ..., 2n\}$  for all n. Then there exists a language L fulfilling the following conditions:

- (1)  $L \in COMM(1)$ ,
- (2) For all n and all  $\Phi$ , the protocols  $D_n = (\Pi, \Phi)$  cannot recognize  $L \cap \{0, 1\}^{2n}$  within communication n 1.

**Proof.** Let us consider the language  $L = \{ww|w \in \{0, 1\}^*\}$ . We shall show first that  $L \in COMM(1)$ . For each n we construct the protocol  $D_n = (\Pi', \Phi')$ , where  $\Pi'$  is the partition of  $\{1, 2, ..., 2n\}$  into sets

$$P_1 = \{1, 2, ..., [n/2], n + 1, n + 2, ..., n + [n/2]\}$$

and

$$P_{11} = \{ [n/2] + 1, [n/2] + 2, ..., n, n + [n/2] + 1, n + [n/2] + 2, ..., 2n \},$$

and  $\Phi'$  is described as follows. Let  $y = a_1 ... a_{2n}$ ,  $a_i \in \{0, 1\}$  be an input word. Then, for all x in  $\{0, 1\}^n$ , z in  $\{0, 1\}$ ,

- $\Phi'(x) = reject$  iff there exists j in  $\{1, 2, ..., [n/2]\}$  such that  $a_i \neq a_{n+1}$
- $\Phi'(x) = a_{n+[n/2]}(1)$  if for all j in  $\{1, 2, ..., [n/2]\}$  follows  $a_j = a_{n+j}$  and n is odd (even),
  - $\Phi'(x, z) = reject$  iff there exists j in  $\{[n/2] + 1, ..., n\}$  such that  $a_j \neq a_{n+j}$ ,

 $\Phi'(x, z) = \text{accept iff for all } j \text{ in } \{[n/2] + 1, ..., n\} \text{ follows } a_j = a_{n+j}$ . Clearly, for all n,  $D_n$  accepts  $L \cap \{0, 1\}^{2n}$ .

Now, we shall show that  $L \cap \{0, 1\}^{2n}$  can be accepted by no  $D_n = (\Pi, \Phi)$  using at most n-1 communication bits, where  $\Pi$  is the partition of  $\{1, 2, ..., 2n\}$  into sets  $S_1 = \{1, 2, ..., n\}$  and  $S_{11} = \{n+1, n+2, ..., 2n\}$ . We prove it by contradiction. Let  $A_n = (\Pi, \Phi)$  be a protocol accepting L within communication n-1.

Now, we shall introduce a fact which we shall use to prove that if  $A_n$  accepts all words in  $L \cap \{0, 1\}^{2n}$ , then it has to accept a word not in L.

Let z be an input word in  $\{0, 1\}^{2n}$  and let  $z_1(z_{11})$  be the part of z restricted to the computer I (II) according to  $\Pi_0$  which is an arbitrary partition of  $\{1, 2, ..., 2n\}$ . Then we shall write  $z = \Pi_0^{-1}(z_1, z_{11})$ . (Clearly, for  $\Pi$  here considered,  $\Pi^{-1}(z_1, z_{11}) = z_1 z_{11}$ .) Let there exist an accepting computation  $d = c_1 \ c_2 \ ... \ c_k$  of a  $D_n = (\Pi_1, \Phi_1)$  for two different input words x, y in  $\{0, 1\}^{2n}$ . Then  $D_n$  must accept the input words  $v = \Pi_1^{-1}(x_1, y_{11})$  and  $u = \Pi_1^{-1}(y_1, x_{11})$ , where  $x_1, x_{11}(y_1, y_{11})$  are restrictions of x(y) according to  $\Pi_1$ . It follows from the fact that  $\Phi_1(x_1) = \Phi_1(y_1) = c_1$  is the first step of  $D_n$  computation on all input words x, y, v, u, and  $\Phi_1(x_{11}, c_1) = \Phi_1(y_{11}, c_1) = c_2$  is the second step of  $D_n$  computation on x, y, v, u and so on the arguments of  $\Phi_1$  are such that all steps of  $D_n$  computation on x, y, v, u are the same.

Let us consider all accepting computations of  $A_n$  on the  $2^n$  words belonging to  $L \cap \{0, 1\}^{2n}$ . Since the number of all  $A_n$  accepting computations is bounded by  $2^{n-1}$ , there exist two different words  $w_1, w_2$  in  $\{0, 1\}^n$  such that the input words  $w_1w_1$  and  $w_2w_2$  have the same accepting computation. Realizing the fact introduced above we obtain that  $\Pi^{-1}(w_1, w_2) = w_1w_2$  and  $\Pi^{-1}(w_2, w_1)$  are accepted by  $A_n$ . But the words  $w_1w_2$  and  $w_2w_1$ , for  $w_1 \neq w_2$ , do not belong to L, which is a contradiction.

We note that Lemma 1 can be simply generalized for any particular partition  $\Pi$ .

To conclude this section we give some notation used in what follows. Let i be a natural number. Then  $BIN_j(i)$  is the binary code of i on j bits (for example,  $BIN_6(5) = 000101$ ). We shall denote, for a word w, the number of symbols b in w by # b(w). Let m be a real number. Then [m] ( $\{m\}$ ) is the ceiling (floor) of m.

## 2. Chomsky hierarchy and communication complexity

We begin to study the relation between the Chomsky hierarchy and the communication complexity hierarchy with the simplest families of languages. We consider the family of regular languages —  $\mathcal{R}$  on one side, and the language families COMM(c), where c is a constant, on the other side.

**Theorem 1.** For all L in  $\mathcal{R}$  there exists a constant c such that  $L \in COMM(c)$ . **Proof.** Let L be in  $\mathcal{R}$  which means that there exists a deterministic finite automaton A recognizing L. Let A have s states  $p_1, \ldots, p_s$ . We show that L belongs to COMM(c), where  $c = [\log_2 s] + 1$ .

For each natural n, we consider the protocol  $D_n = (\Pi_n, \Phi_n)$ , where  $\Pi_n$  divides the set  $\{1, 2, ..., 2n\}$  into the sets  $S_1 = \{1, ..., n\}$  and  $S_{11} = \{n + 1, ..., 2n\}$ , and for all x in  $\{0, 1\}^n$  and all j in  $\{1, ..., s\}$ .

 $\Phi_n(x) = BIN_c(i)$  iff A computing on x ends in the state  $p_i$ ,

 $\Phi_n(x, BIN_c(j)) = accept (reject)$  iff A beginning to compute on x in the state  $p_j$  ends the computation in an accepting (unaccepting) state.

It is easy to see that  $D_n$  accepts the input word iff A accepts this word, which proves our assertion.

Considering the assertion of Theorem 1 the natural question is, whether there exists a constant m such that  $\mathcal{R} \subseteq COOM(m)$ . In the following we show that such a constant does not exist.

**Theorem 2.** For all natural c there exists L in  $\mathcal{R}$  such that  $L \notin COMM(c)$ . **Proof.** We shall consider the language  $L = \{x \in \{0, 1\}^*, \# 0(x) = 2^{c+1}\}$ . We prove by contradiction that for  $n = 2^{c+1}c$  communication bits do not suffice for recognizing  $L_n = L \cap \{0, 1\}^{2n}$ .

Let there exist a protocol  $D_n = (\Pi, \Phi)$  recognizing  $L_n$  within communica-

tion c. Let us divide all words in  $\{0, 1\}^n$  into  $n + 1 = 2^{c+1} + 1$  classes  $K_0, K_1, \ldots, K_n$ , where  $K_i = \{x \in \{0, 1\}^n | \# 0(x) = i\}$ . Clearly, for each  $y_1(y_{11})$  in  $K_i(0 \le i \le m)$  and each  $u_{11}(u_1)$  in  $K_{m-i}$  the input word  $\Pi^{-1}(y_1, u_{11})(\Pi^{-1}(y_{11}, u_1))$  belongs to L.

Since the number of all accepting computations of  $D_n$  is at most  $2^c$ , there exist two input words  $\Pi^{-1}(y_k, u_k)$  and  $\Pi^{-1}(y_m, u_m)$  in L having the same accepting computation, where  $y_k \in K_k$ ,  $y_m \in K_m$ ,  $u_k \in K_{n-k}$ ,  $u_m \in K_{n-m}$  and  $k \neq m$ . So we have a contradiction because  $D_n$  accepts the words  $\Pi^{-1}(y_k, u_m)$  and  $\Pi^{-1}(y_m, u_k)$  which do not belong to L.

Considering Theorems 1 and 2 we obtain  $\Re \subseteq \bigcup_{c=1}^{\infty} COMM(c)$ . Let us consider the question whether the equality holds in this relation. In what follows we shall show that  $\Re \subset \bigcup_{c=1}^{\infty} COMM(c)$ , especially we shall prove the more powerful result that there exists such a language  $L_1$  in COMM(1) that it cannot be generated by any context grammar.

**Theorem 3.** There exists a language  $L_1$  in COOM(1) such that  $L_1$  is not in  $\mathcal{L}_{CS}$  that is the family of all context sensitive languages.

**Proof.** Let  $x_1, x_2, x_3, ...$  be the infinite sequence of all words in  $\{0, 1\}^*$ , which is lexicographically arranged. Let  $T_1, T_2, T_3, ...$  be the infinite sequence of all context grammars arranged according to their binary coding. It is well known that the language  $L = \{x_i | x_i \text{ cannot be derived in } T_i \}$  does not belong to  $\mathcal{L}_{CS}$ . We shall consider the language  $L_1 = \{a_1 \mid a_2 \mid a_3 \mid ... \mid a_k \mid | k \ge 1, a_i \in \{0, 1\} \text{ for all } i = 1, ..., k, \text{ and } a_1 a_2 a_3 ... a_k \in L\}$ . Let there be a context grammar T generating  $L_1$ . Then it is no problem to construct context grammar T accepting L, which implies  $L_1$  is not in  $\mathcal{L}_{CS}$ . Now, we shall show that  $L_1$  is in COMM(1).

We construct, for each natural n, the protocol  $D_n = (\Pi_n, \Phi_n)$  recognizing  $L \cap \{0, 1\}^{2n}$ , where  $\Pi_n$  is the partition of  $\{1, 2, ..., 2n\}$  into the sets  $S_1 = \{2k|1 \le k \le n\}$  and  $S_{II} = \{2k + 1|0 \le k \le n - 1\}$ , and  $\Phi_n$  is defined in the following way. For all x in  $\{0, 1\}^n$ :

$$\Phi_n(x) = 1$$
 iff  $x = 1^n$   
 $\Phi_n(x) = reject$  iff  $x \neq 1^n$   
 $\Phi_n(x, 1) = accept$  iff  $x \in L_1$   
 $\Phi_n(x, 1) = reject$  iff  $x \notin L_1$ .

Obviously,  $D_n$  accepts the language  $L \cap \{0, 1\}^{2n}$  for all n.

Considering the results obtained we could make the following reflection. Either most languages of the Chomsky hierarchy families can be recognized in constant communication complexity (i.e. the languages of Chomsky hierarchy families are involved in the simplest communication complexity families), or there exists a simple language according to Chomsky hierarchy which is not

simple according to communication complexity hierarchy (i.e. the hierarchies considered are uncomparable). We shall show that the second part of the consideration introduced holds.

**Theorem 4.** There exists deterministic context-free language L' which does not belong to  $COMM(\lceil \log_2 n \rceil - 1)$ .

**Proof.** Let us consider the language  $L' = \{x \in \{0, 1\}^* | \# 1(x) = \# 0(x)\}$ . It can be easily seen that it is no problem to construct a one-way deterministic counter automaton recognizing L'. So, L' is deterministic context-free language.

Clearly, we can write  $L' = \bigcup_{n=1}^{\infty} L_n$ , where  $L_n = \{x \in \{0, 1\}^* | |x| = 2n \text{ and } \# 0(x) = n\}$ . In the proof of Theorem 2 we showed that the language

$${x \in {0, 1}^* | \# 0(x) = 2^{c+1}} \cap {0, 1}^{2n}$$

requires, for  $n = 2^{c+1}$ , communication complexity greater than c. So we have that  $L_n = L' \cap \{0, 1\}^{2n}$  cannot be recognized in communication complexity  $[\log_2 n] - 1$  for all n, therefore  $L' \notin COMM([\log_2 n] - 1)$ .

We conclude this paper with the note that no substantial coherence is between the Chomsky hierarchy and the communication complexity hierarchy. However, this does not exclude the possiblity of some relation between the Chomsky hierarchy and the layout area of the chips recognizing the languages.

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#### **РЕЗЮМЕ**

## СООТНОШЕНИЕ МЕЖДУ ИЕРАРХИЕЙ ЧОМСКОГО И ИЕРАРХИЕЙ КОММУНИКАТИВНОЙ СЛОЖНОСТИ

### Юрай Громкович, Братислава

В работе иследована новая мера сложности определенна следовательно. Пусть язык  $L\subseteq (\{0,1\}^2)^*$  распознается двумя отдаленными вычислительными машинами. Каждая машина получает половину вводных битов и вычисление осуществляется при помощи протоколов передачи данных между этими машинами. Минимальное количество битов, которыми машины должны обменятся, чтобы распознать  $L\cap\{0,1\}^{2n}$ , разделенный во всех частях вводных битов на две одинаковые доли, называется коммуникативной сложностью языка L. В работе сровнена иерархия коммуникативной сложности с иерархией Чомского.

### SÚHRN

## VZŤAH CHOMSKÉHO HIERARCHIE A HIERARCHIE KOMUNIKAČNEJ ZLOŽITOSTI

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V práci sa študuje nová miera zložitosti pre rozpoznávanie jazykov definovaná nasledovným spôsobom. Predpokladajme, že jazyk  $L \subseteq (\{0, 1\}^2)^*$  má byť rozpoznávaný dvoma rôznymi počítačmi. Každý počítač dostane polovičku vstupných bitov a výpočet prebieha používajúc protokoly pre komunikáciu medzi týmito dvoma počítačmi. Minimálny počet bitov, ktoré musia byť vymenené za účelom rozpoznania  $L \cap \{0, 1\}^{2n}$ , minimalizovaný cez všetky rozdelenia vstupu na dve rovnako veľké časti, a uvažovaný ako funkcia n, sa nazýva komunikačná zložitosť jazyka L. V práci je ukázaný vzťah medzi hierarchiou komunikačnej zložitosti a Chomského hierarchiou.

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