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# INFINITESIMAL AFFINE DEFORMATIONS OF SUBMANIFOLDS OF A RIEMANNIAN MANIFOLD\*

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0. Let  $M^m$  be an m-dimensional submanifold of an n-dimensional Riemannian manifold  $M^n$ .

In the present paper we study the infinitesimal affine deformations of submanifolds of a Riemannian manifold.

In Theorem 1 and Theorem 3 we answer the following question: when an infinitesimal affine deformation of a submanifold  $M^m$  is infinitesimal isometric or infinitesimal volume preserving.

In Theorem 4 and Theorem 5, conditions have been found in which a hypersurface  $M^m$  does not allow non-trivial infinitesimal affine deformations.

All manifolds, tensors and maps are assumed to be  $C^{\infty}$ .

All manifolds are assumed connected.

1. Let  $M^n$  be an n-dimensional Riemannian manifold covered by a system of coordinate neighbourhoods  $\{U, x^h\}$ . Let means  $g_{ij}$ ,  $\Gamma^k_{ij}$ ,  $\nabla_i$ ,  $R^h_{ijk}$  and  $R_{ij}$ , the metric tensor, the Christoffel symbols formed with  $g_{ij}$ , the operator of covariant differentiation with respect to  $\Gamma^l_{kj}$ , the curvature tensor and the Ricci tensor of  $M^n$  respectively. The indices  $i, j, k, \ldots$  assume the values  $1, 2, \ldots, n$ .

Let  $M^m$  be an m-dimensional Riemannian manifold, covered by a system of coordinate neighbourhoods  $\{V, u^a\}$  and let by  $g_{\alpha\beta}$ ,  $\Gamma^a_{\delta\beta}$ ,  $\nabla_a$ ,  $R^{\delta}_{\alpha\beta\gamma}$  and  $R_{\alpha\beta}$  the corresponding quantities of  $M^m$  be denoted. The indices  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ , ... run over the range 1, 2, ..., m.

We suppose that the manifold  $M^m$  is isometrically immersed in  $M^n$  by the immersion  $r: M^m \to M^n$  and we identify  $r(M^m)$  with  $M^m$ .

We represent the immersion r by

$$(1.1) x^h = x^h(u^a)$$

<sup>\* (</sup>Delivered at the Joint Czech-Polish-G.D.R. Conference on Differential Geometry and its Applications, September 1980, Nové Město na Moravě, Czechoslovakia.)

and denote

$$B_{\alpha}^{i} = \frac{\partial x^{i}}{\partial x^{\alpha}},$$

 $B_a^i$  are m linearly independent vectors of  $M^n$  tangent to  $M^m$ . Since the immersion is isometric, we have

$$g_{\alpha\beta} = g_{ij}B^i_{\alpha}B^j_{\beta}.$$

We denote by  $N_{\lambda}^{h}$  ( $\lambda = m + 1, m + 2, ..., n$ ) n - m mutually orthogonal unit normals to  $M^m$ , and by  $D: I \times M^m \to M^n$ ,  $I = (-\varepsilon, \varepsilon) \varepsilon > 0$  an arbitrary deformation of  $M^m$ . Then the field  $z^h$  of the deformation D can be represented as:

$$z^h = \zeta^\alpha B^h_\alpha + \zeta^\lambda N^h_\lambda,$$

where  $\zeta^{\alpha}(\alpha = 1, 2, ..., m)$  and  $\zeta^{\lambda}(\lambda = m + 1, ..., n)$  are tangential and normal components of the field of deformation  $z^h$ , respectively.

We call a deformation D of the submanifold  $M^m$  trivial, when the field of the deformation  $z^h$  is identically equal to zero.

If the deformation vector zh is tangent to the submanifold, we say that the deformation is tangential (i.e.  $\zeta^{\lambda} = 0$ ).

If the deformation vector  $z^h$  is normal to the submanifold, we say that the deformation is normal (i.e.  $\zeta^{\alpha} = 0$ ).

A deformation D of  $M^m$  is then and only then [2]

a) infinitesimal isometric, when the components  $\zeta^a$  and  $\zeta^{\lambda}$  of the field of deformation z<sup>h</sup> satisfy the following system of equations:

(1.5) 
$$\nabla_{\alpha}\zeta_{\beta} + \nabla_{\beta}\zeta_{\alpha} - 2h_{\alpha\beta\lambda}\zeta^{\lambda} = 0,$$

where  $h_{\alpha\beta}^{\lambda}$  are the second fundamental tensors of  $M^{m}$  with respect to the normals  $N_{\lambda}^{h}$ ;  $h_{\alpha\lambda}^{\beta} = g^{\beta\delta}h_{\alpha\delta\lambda}; \ h_{\lambda} = h_{\alpha\lambda}^{\alpha} = g^{\alpha\beta}h_{\alpha\beta\lambda}.$ b) infinitesimal affine, when  $\xi^{\alpha}$  and  $\xi^{\lambda}$  satisfy the system of equations:

$$(1.6) \qquad \nabla_{\gamma} \nabla_{\beta} \zeta_{\alpha} + R_{\epsilon \gamma \beta \alpha} \zeta^{\epsilon} = \nabla_{\gamma} (h_{\beta \alpha \lambda} \zeta^{\lambda}) + \nabla_{\beta} (h_{\alpha \gamma \lambda} \zeta^{\lambda}) - \nabla_{\alpha} (h_{\beta \gamma \lambda} \zeta^{\lambda}).$$

c) infinitesimal volume preserving, if  $\zeta^{\alpha}$  and  $\zeta^{\lambda}$  satisfy:

$$\nabla_{\alpha}\zeta^{\alpha} = h_1\zeta^{\lambda}.$$

2. Theorem 1. If an infinitesimal affine deformation of a submanifold M<sup>m</sup> of a Riemannian manifold M<sup>n</sup> is infinitesimal isometric at least at one point of M<sup>m</sup>. then this affine deformation is isometric on the whole M<sup>m</sup>.

Proof: From equation (1.6) and

$$(2.1) \qquad \nabla_{\gamma} \nabla_{\alpha} \zeta_{\beta} + R_{z \gamma \alpha \beta} \zeta^{z} = \nabla_{\gamma} (h_{\alpha \beta \lambda} \zeta^{\lambda}) + \nabla_{\alpha} (h_{\gamma \beta \lambda} \zeta^{\lambda}) - \nabla_{\beta} (h_{\alpha \gamma \lambda} \zeta^{\lambda})$$

in view of  $-R_{\epsilon\gamma\beta\alpha} = R_{\epsilon\gamma\alpha\beta}$  we obtain

(2.2) 
$$\nabla_{\gamma}(\nabla_{\beta}\zeta_{\alpha} + \nabla_{\alpha}\zeta_{\beta} - 2h_{\alpha\beta\lambda}\zeta^{\lambda}) = 0.$$

If we denote

$$(2.3) T_{\alpha\beta} = \nabla_{\beta}\zeta_{\alpha} + \nabla_{\alpha}\zeta_{\beta} - 2h_{\alpha\beta\lambda}\zeta^{\lambda},$$

then

(2.4) 
$$\nabla_{y}T_{\alpha\beta} = 0, \qquad T_{\alpha\beta} = T_{\beta\alpha} \quad \text{and} \quad T^{\alpha\beta} = T_{\alpha\beta}g^{\alpha\beta}.$$

We multiply (1.6) by  $T^{\alpha\beta}$ 

$$(2.5) T^{x\beta} \nabla_{y} (\nabla_{\beta} \zeta_{\alpha} - h_{\alpha\beta\lambda} \zeta^{\lambda}) = 0.$$

From (2.4) and (2.5) we have

$$(2.6.) T^{\alpha\beta}(\nabla_{\beta}\zeta_{\alpha} - h_{\alpha\beta\lambda}\zeta^{\lambda}) = C_{1},$$

where  $C_1$  is a global constant, since  $M^m$  is connected. Since  $T^{\alpha\beta} = T^{\beta\alpha}$ , we can write (2.6) in the form

$$(2.7) T^{\alpha\beta}T_{\alpha\beta}=2C_1.$$

The rest of the proof follows easily from the assumptions.

From this theorem we obtain some corollaries.

Corollary 1. If  $\zeta^h = \zeta^\alpha B_\alpha^h + \zeta^\lambda N_\lambda^h$  is a deformation vector field of an infinitesimal affine deformation, then the tensor  $T_{\alpha\beta}$  has a constant length.

**Corollary 2.** If  $z^h = \zeta^x B_\alpha^h + \zeta^\lambda N_\lambda^h$  is a deformation vector field of an infinitesimal affine deformation, then

$$(2.8) \qquad \frac{1}{2} \left( \nabla_{\alpha} \zeta_{\beta} + \nabla_{\beta} \zeta_{\alpha} \right) \left( \nabla^{\alpha} \zeta^{\beta} + \nabla^{\beta} \zeta^{\alpha} \right) \ge 4 h_{\alpha\beta\lambda} \zeta^{\lambda} \nabla^{\alpha} \zeta^{\beta} - 2 h_{\alpha\beta\lambda} h_{\mu}^{\alpha\beta} \zeta^{\lambda} \zeta^{\mu}_{\bullet}$$

The equality is valid only if the deformation is infinitesimally isometric.

**Theorem 2.** If  $z^h = \zeta^\alpha B_\alpha^h + \zeta^\lambda N_\lambda^h$  is a deformation vector of an infinitesimal affine, deformation of a non-totally geodesic compact orientable submanifold  $M^m$  of an orientable Riemannian manifold  $M^n$ , then

(2.9) 
$$\int_{M_n} h_{\alpha\beta\lambda} h_m^{\alpha\beta} \zeta^{\lambda} \zeta^m dV \ge \int_{M_n} h_{\alpha\beta\lambda} \zeta^{\lambda} \nabla^{\alpha} \zeta^{\beta} dV.$$

The equality is fulfilled only if the deformation is infinitesimally isometric.

Proof: By Green's theorem and equality (2.4) it follows

(2.10) 
$$0 = \int_{M_m} \nabla^{\beta} (T_{\alpha\beta} \zeta^{\alpha}) \, dV = \int_{M_m} T_{\alpha\beta} \nabla^{\alpha} \zeta^{\beta} \, dV.$$

From this equality in view of (2.6) and (2.7) we have

(2.11) 
$$\int_{M_m} \frac{1}{2} T_{\alpha\beta} T^{\alpha\beta} dV = \int_{M_m} \{2h_{\alpha\beta\lambda}h_m^{\alpha\beta}\zeta^{\lambda}\zeta^m - 2h_{\alpha\beta\lambda}^{\mu\nu}\zeta^{\lambda}\nabla^{\alpha}\zeta^{\beta}\} dV.$$

From (2.11) it follows that

$$\int\limits_{M^m} 2h_{\alpha\beta\lambda}h_m^{\alpha\beta}\zeta^\lambda\zeta^m\,\mathrm{d}V \geqq \int\limits_{M^n} 2h_{\alpha\beta\lambda}\zeta^\lambda\,\nabla^\alpha\zeta^\beta\,\mathrm{d}V.$$

The theorem is proved.

If we take into consideration

$$(2.12) \ \frac{1}{2} T_{\alpha\beta} T^{\alpha\beta} = \frac{1}{2} \left( \nabla_{\alpha} \zeta_{\beta} + \nabla_{\beta} \zeta_{\alpha} \right) \left( \nabla^{\alpha} \zeta^{\beta} + \nabla^{\beta} \zeta^{\alpha} \right) - 4 h_{\alpha\beta\lambda} \zeta^{\lambda} \nabla^{\alpha} \zeta^{\beta} + 2 h_{\alpha\beta\lambda} h_{\mu}^{\alpha\beta} \zeta^{\lambda} \zeta^{\mu},$$

then from (2.11) we have

Corollary 1. If  $z^h$  is deformation vector of an infinitesimal affine deformation of a compact orientable submanifold  $M^m$  of an orientable Riemannian manifold  $M^n$ , then

(2.13) 
$$\int_{M^n} h_{\alpha\beta\lambda} \zeta^{\lambda} \nabla^{\alpha} \zeta^{\beta} \, \mathrm{d}V \ge 0.$$

**Theorem 3.** An infinitesimal affine deformation of a minimal compact orientable submanifold  $M^m$  of an orientable Riemannian manifold  $M^n$  is necessarily infinitesimal volume preserving.

Proof: If a submanifold is minimal, then

$$(2.14) h_{\pi_1}^a = h_1 = 0.$$

From equation (1.6) we can get the following equalities:

(2.15) 
$$\nabla^{\beta} \nabla_{\beta} \zeta_{\alpha} + R_{\beta \alpha} \zeta^{\beta} = 2 \nabla^{\beta} (h_{\alpha \beta \lambda} \zeta^{\lambda}) - \nabla_{\alpha} (h_{\lambda} \zeta^{\lambda}),$$

$$\nabla_{\alpha}\zeta^{\alpha} = h_{\lambda}\zeta^{\lambda} + C,$$

where C is a global constant, since  $M^m$  is connected.

From (2.14) and (2.16) it follows

$$\nabla_{\alpha}\zeta^{\alpha}=C.$$

Since the submanifold  $M^m$  is compact and orientable, then

(2.18) 
$$\int_{\mathbf{M}^m} \nabla_{\alpha} \zeta^{\alpha} \, \mathrm{d}V = 0.$$

From (2.17) and (2.18) we obtain that  $C \equiv 0$ .

**Theorem 4.** Let  $M^m$  be a non-minimal compact orientable hypersurface of an orientable Riemannian manifold  $M^n$ . If the submanifold  $M^m$  satisfies the conditions a) the second fundamental tensor  $h_{\alpha\beta}$  is parallel, i.e.

$$\nabla_{\nu}h_{\alpha\beta}=0,$$

b) the quadratic form with the components  $R_{\alpha\beta}$  of the Ricci tensor as coefficients is negatively definite, then  $M^m$  does not allow non-trivial infinitesimal affine deforma-

tion for which the divergence of the tangential component of the deformation vector is equal to zero and the deformation vector is tangent to  $M^m$  at least at one point of  $M^m$ .

Proof: Let us suppose that  $M^m$  allows non-trivial infinitesimal affine deformations. Then  $\xi^a$  and  $\psi$  do not vanish at the same time and satisfy the equation (1.6).

The equation (1.6) in view of condition  $\nabla_{\nu}h_{\alpha\beta}=0$  becomes

$$(2.19) \nabla_{y} \nabla_{\beta} \zeta_{\alpha} + R_{\epsilon y \beta \alpha} \zeta^{\epsilon} = h_{\beta \alpha} \nabla_{y} \psi + h_{y \alpha} \nabla_{\beta} \psi - h_{\beta y} \nabla_{\alpha} \psi.$$

From (2.19) we can get the following equations:

$$\nabla^{\beta} \nabla_{\beta} \zeta_{\alpha} + R_{\alpha \alpha} \zeta^{\alpha} = 2h_{\alpha}^{\beta} \nabla_{\beta} \psi - h \nabla_{\alpha} \psi,$$

$$\nabla_{\alpha}\zeta^{\alpha} = h\psi + C,$$

where C is a global constant.

Since the divergence of the vector  $\zeta^{\alpha}$  is equal to zero we have

$$\nabla_{\alpha}\zeta^{\alpha} = 0.$$

From (2.21) by virtue of (2.22) and  $\nabla_{\gamma} h_{\alpha\beta} = 0$  we obtain

$$(2.23) h \nabla_{\alpha} \psi = 0.$$

The hypersurface  $M^m$  is not minimal, i.e.  $h \neq 0$ . Then from (2.23) it follows that

$$(2.24) \psi = \overline{C},$$

where C is a constant.

The equality (2.19) in view of (2.24) becomes

$$(2.25) \nabla_{\nu} \nabla_{\beta} \zeta_{\alpha} + R_{\nu\nu\beta\alpha} \zeta^{\epsilon} = 0,$$

which shows that  $\zeta^{\alpha}$  is an affine Killing vector. Since  $M^{m}$  is compact and orientable,  $\zeta^{\alpha}$  is also a Killing vector:

$$\nabla_{\alpha}\zeta_{\beta} + \nabla_{\beta}\zeta_{\alpha} = 0.$$

For a compact orientable submanifold  $M^m$  the following integral formula is valid

(2.27) 
$$\int_{M^{m}} \left\{ R_{\alpha\beta} \zeta^{\alpha} \zeta^{\beta} + \nabla^{\alpha} \zeta^{\beta} \nabla_{\beta} \zeta_{\alpha} - (\nabla_{\alpha} \zeta^{\alpha})^{2} \right\} dV = 0,$$

for any vector  $\zeta^{\alpha}$  in  $M^m$  [3].

From (2.26), (2.22) and (2.27) we have

(2.28) 
$$\int_{\mathbf{M}^m} R_{\alpha\beta} \zeta^{\alpha} \zeta^{\beta} \, dV = \int_{\mathbf{M}^m} \nabla^{\alpha} \zeta^{\beta} \, \nabla_{\alpha} \zeta_{\beta} \, dV.$$

This equality, considering condition b) of the theorem, is fulfilled only if  $\zeta^{\alpha}$  is identically equal to zero. The theorem is proved.

**Corollary 1.** If a hypersurface  $M^m$  satisfies the conditions of the theorem, then  $M^m$  does not allow non-trivial infinitesimal affine deformations for which the tangential component of the deformation vector is a harmonic vector and the deformation vector is tangent to  $M^m$  at least at one point.

**Corollary 2.** A compact orientable hypersurface  $M^m$  of an orientable Riemannian manifold  $M^n$  does not allow non-trivial tangential infinitesimal affine deformation if the Ricci form  $R_{\alpha\beta}$  is negatively definite.

**Theorem 5.** Let  $M^m$  be a non-minimal compact orientable hypersurface of an orientable Riemannian manifold  $M^n$  with negative (or equal to zero) constant scalar curvature. If  $M^m$  has a parallel second fundamental tensor  $(\nabla_{\gamma}h_{\alpha\beta}=0)$ , and the quadratic form with coefficients  $h_{\gamma}h_{\alpha\beta}^{\lambda}-h_{\beta\lambda}^{\epsilon}h_{\alpha\epsilon}^{\lambda}$ , is negatively definite, then  $M^m$  does not allow non-trivial infinitesimal affine deformation for which the divergence of the tangential component of the deformation vector is equal to zero and the deformation vector is tangent to  $M^m$  at least at one point.

**Proof:** The Gauss equation of a submanifold of  $M^n$  is:

$$(2.29) R_{\alpha\beta\gamma\delta} = R_{ijkh} B^i_{\alpha} B^j_{\beta} B^k_{\delta} + h_{\alpha\delta\lambda} h^{\lambda}_{\beta\gamma} - h_{\beta\delta\lambda} h^{\lambda}_{\alpha\gamma}.$$

The curvature tensor of a manifold  $M^n$  with constant scalar curvature K is:

(2.30) 
$$R_{ijkh} = \frac{K}{n(n-1)} (g_{ih}g_{jk} - g_{ik}g_{jh}).$$

From (2.29), (2.30) and  $g_{\alpha\beta} = g_{ij}B^i_{\alpha}B^j_{\beta}$  we obtain

(2.31) 
$$R_{\beta\gamma} = \frac{m(m-1)}{n(n-1)} K g_{\beta\gamma} + h_{\lambda} h_{\beta\gamma}^{\lambda} - h_{\beta\lambda}^{\alpha} h_{\alpha\gamma}^{\lambda}.$$

Further the proof is analogous to that of Theorem 4.

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