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## Markoff Forms and Primitive Words

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### 1. Introduction

Almost one hundred years ago, in 1879, Markoff's paper «Sur les formes binaires indéfinies» appeared in this journal [10]. The paper was concerned with the quadratic form (in  $\mathbf{Z}$ )

$$\Phi(x_1, x_2) = ax_1^2 + a'x_1x_2 + a''x_2^2$$

with minimum  $m(\Phi)$ , of  $|\Phi|$  over its non-zero values, and discriminant  $d(\Phi) = (a')^2 - 4aa'' (> 0)$ , not a perfect square. The problem was to consider when the following relation holds:

$$\mu(\Phi) = m(\Phi)/d(\Phi)^{1/2} > 1/3.$$

Markoff showed that the inequality was satisfied only for a special sequence of forms  $\Phi_a$  and forms (properly or improperly) equivalent to  $g\Phi_a$ , ( $0 < 2g \in \mathbf{Z}$ ), (all called "Markoff forms"). Here the integers  $a$  are "Markoff numbers" defined as one of a "Markoff triple" ( $a, b, c$ ) of positive integers satisfying "Markoff's equation"

$$a^2 + b^2 + c^2 = 3abc,$$

$a = 1, 2, 5, 13, 29, 34, 89, \dots$ . For each such value, the form  $\Phi_a$  was constructed such that

$$m(\Phi_a) = a, \quad d(\Phi_a) = 9a^2 - 4, \quad \mu(\Phi_a) = a/(9a^2 - 4)^{1/2}.$$

Most of Markoff's attention went to the construction of the purely periodic part of the continued fraction<sup>1</sup> for the roots  $\xi$  and  $\eta$  of the factored form:

$$\Phi_a(x, y) = a(x_1 - \xi x_2)(x_1 - \eta x_2).$$

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<sup>1</sup> Markoff's rule of formation of periods will not be repeated here because of a more suitable version in § 6 (below).

The first three such forms correspond to the triple (1, 2, 5):

$$\begin{aligned}\Phi_1(x_1, x_2) &= x_1^2 + x_1 x_2 - x_2^2, \quad \text{period } [1, 1], \quad \mu(\Phi_1) = 1/5^{1/2}, \\ \Phi_2(x_1, x_2) &= 2x_1^2 + 4x_1 x_2 - 2x_2^2, \quad \text{period } [2, 2], \quad \mu(\Phi_2) = 1/8^{1/2}, \\ \Phi_5(x_1, x_2) &= 5x_1^2 + 11x_1 x_2 - 5x_2^2, \quad \text{period } [2, 2, 1, 1], \quad \mu(\Phi_5) = 5/221^{1/2}.\end{aligned}$$

It is of great historical interest that  $\mu(\Phi) > 1/3$  only for Markoff forms, with the discrete<sup>2</sup> sequence  $a/(9a^2 - 4)^{1/2}$ , and it is of further interest that even with real coefficients in  $\Phi$  (and  $x_1, x_2 \in \mathbf{Z}$ ) no other forms  $\Phi$  arise with  $\mu(\Phi) > 1/3$ , (see [4]), (assuming “min” is modified to “non-zero inf” in  $m(\Phi)$ ).

In the earlier papers [2] (in 1955) and [3] (in 1971), the author developed Markoff forms in triples, like Markoff numbers, by using triples of “Markoff matrices”. This required a combination of techniques of Fricke, who in 1896 (see [5]) had used triples of matrices in  $SL_2(\mathbf{R})$  to generate Fuchsian groups, and Frobenius, who in 1913, (see [6]), had used single matrices in  $SL_2(\mathbf{Z})$  to generate Markoff forms. Thus the relationship of Markoff forms to the modular group and to geodesics in the Poincaré-Klein fashion (on a perforated torus) was developed.

The purpose of this present paper is to show how the idea of Markoff matrix-triples leads to theorems on the word problem for the free group  $\mathbf{Z} * \mathbf{Z}$ , specifically a normalized form for the primitive words and a “Nielsen-type” partition of some primitive words into a basis pair. The inevitable interpretation in terms of homotopy is also made.

Incidentally, the role of matrix-triples rather than single forms may be of some further interest in light of a connection recently made by Hirzebruch between Markoff triples and algebraic manifolds [7], using identities of Rademacher on Dedekind sums [14].

## 2. Main Theorem

Let  $F_2$  be the free group  $\mathbf{Z} * \mathbf{Z}$  on the generators  $(A, B)$  and let  $\Gamma$  be the automorphism group on  $F_2$ . Thus we write

$$G(A, B) = (w_1(A, B), w_2(A, B)), \quad G \in \Gamma,$$

where  $w_1$  or  $w_2$  are the so-called primitive words of  $F_2$  (shown as a finite product):

$$w_i(A, B) = \prod_j A^{t_i^{(j)}} B^{u_i^{(j)}}; \quad t_i^{(j)}, u_i^{(j)} \in \mathbf{Z}.$$

<sup>2</sup> This is unlike the case of definite forms, where the corresponding  $\mu(\Phi)$  lies dense in the interval between the (Gaussian) maximum  $1/3^{1/2}$  and 0.

Let  $F_2^A$  be the abelian free group  $Z \oplus Z$  on the generators  $(A, B)$  and let  $\Gamma^A (= GL_2(Z))$  be the automorphism group on  $F_2^A$ . The canonical projection,  $\pi$ , of course, operates as follows:

$$\pi w_i(A, B) = \sum_j t_i^{(j)} A + \sum_j u_i^{(j)} B = t_i A + u_i B, \quad (i = 1, 2),$$

and

$$\pi G = \begin{pmatrix} t_1 & u_1 \\ t_2 & u_2 \end{pmatrix}, \quad (t_1 u_2 - t_2 u_1 = \pm 1).$$

Let  $\Gamma_R$  be the subgroup of  $\Gamma$  defined as  $\pi^{-1}(G_{12})$  where

$$G_{12} = \left\{ \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \pm \begin{pmatrix} 0 & 1 \\ -1 & -1 \end{pmatrix}, \pm \begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix}, \right. \\ \left. \pm \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \pm \begin{pmatrix} -1 & -1 \\ 0 & 1 \end{pmatrix}, \pm \begin{pmatrix} 1 & 0 \\ -1 & -1 \end{pmatrix} \right\}.$$

Clearly,  $G_{12} \approx Z_2 \times S_6$  (the symmetric group); i.e.,  $G_{12}$  is the dihedral group of the hexagon, and, moreover,  $\Gamma_R$  includes the inner automorphisms ( $\Gamma_1^+$ ) of  $F_2$ . We shall later demonstrate the structure  $\Gamma_R/\Gamma_1^+ = G_{12}$  (see § 4 below).

We shall use symbols of type  $\Gamma_R^+$  to mean the subgroup of  $\Gamma_R$  for which  $\pi(\Gamma_R^+) \in SL_2(Z)$ , the “oriented” or “proper” automorphisms and  $\Gamma_R^-$  to mean the coset,  $\pi(\Gamma_R^-) \notin SL_2(Z)$ .

**Theorem 2.1 (Main Theorem).** *The primitive word  $w = w(A, B)$  has the unique normalized form*

$$M_{r,s}(A, B) = \prod_{i=1}^r AB^{a_i+2}, \quad M_{0,1}(A, B) = B,$$

$a_i = [ts/r] - [(t-1)s/r]$ , ( $r > 0, s \geq 0, (r, s) = 1$ ), to within (several possible) automorphisms of  $F_2$  lying in  $\Gamma_R$ . Specifically, for every primitive word there exists an  $H_R \in \Gamma_R$  and a unique integer pair  $(r, s)$ , ( $r \geq 0, s \geq 0, (r, s) = 1$ ), such that

$$w(H_R(A, B)) = M_{r,s}(A, B).$$

Finally, the automorphism  $H_R$  is uniquely determined to within an inner automorphism except when  $(r, s) = (0, 1)$  or  $(1, 0)$  in which cases there are two cosets, one in  $\Gamma_R^+$ , the other in  $\Gamma_R^-$ .

**Corollary 2.2.** *Any primitive word expressible as positive powers of  $B$  and  $AB^2$  must be of the form  $M_{r,s}(A, B)$  or a cyclic rearrangement thereof.*

Thus the assignment of integers  $r$  and  $\left(\sum_1^r a_i =\right)s$ , with  $r \geq 0, s \geq 0, (r, s) = 1$  completely (cyclically) determines the individual addends  $a_i$ .

To take some simple illustrations:

$$M_{0,1}(A, B) = B, M_{1,0}(A, B) = AB^2, M_{1,1}(A, B) = AB^3, M_{1,2}(A, B) = AB^4, \\ M_{2,1}(A, B) = AB^2 AB^3, \text{ etc.}$$

$$\begin{aligned} w(A, B) = B^{-1} &\Rightarrow w(A^{-1}, B^{-1}) = w(AB, B^{-1}) = B, \\ w(A, B) = A &\Rightarrow w(B, A) = w(B, B^{-1} A^{-1}) = B, \\ w(A, B) = AB^{-1} &\Rightarrow w(ABA^{-1}, B^{-1} A^{-1}) = w(AB, B^{-1}) = AB^2, \\ w(A, B) = BA^2 &\Rightarrow w(B, A) = w(B^{-1} AB^2, B^{-1} A^{-1} B) = AB^2, \\ w(A, B) = BA^3 &\Rightarrow w(B, A) = AB^3. \end{aligned}$$

In each case the automorphisms in  $\Gamma_R$  shown here are the only possible ones to within inner automorphisms. The computational procedure appears in Lemma 4.2 (below), and the cases are of later interest for remarks in § 7. On a more difficult level,  $M_{3,4}(A, B) = AB^3 AB^3 AB^4$  is primitive but not  $AB^2 AB^3 AB^5$ , by the corollary. Also  $M_{r,s}(A^{-1}, B^{-1})^{-1}$ , the “reversal”, must be a cyclic rearrangement of  $M_{r,s}(A, B)$  (also see Lemma 6.1 below).

### 3. Some Automorphism Subgroups

Here we shall list some group presentations which shall be required below. In all the following cases, the classical techniques (see [12, 13, 9]) suffice and details may be omitted:

$$P(A, B) = (B, A), R(A, B) = (B^{-1}, A), S(A, B) = (B, B^{-1} A^{-1}).$$

$$\Gamma = \{P, R, S; P^2, R^4, S^3, (PR^2)^2, (PSR^2)^2, RS^2 R^2 S = SR^2 S^2 R\}.$$

$$\Gamma^+ = \{R, S; R^4, S^3, RS^2 R^2 S = SR^2 S^2 R\}.$$

$$\Gamma_R = \{P, R^2, S; P^2, (R^2)^2, S^3, (PR^2)^2, (PSR^2)^2\}.$$

$$\Gamma_R^+ = \{R^2, S; (R^2)^2, S^3\}.$$

$$\Gamma_I = \{P, R^2 SR^2 S^2, S^2 R^2 SR^2; P^2, P(R^2 SR^2 S^2)P = S^2 R^2 SR^2\}.$$

$$\Gamma_I^+ = \{R^2 SR^2 S^2, S^2 R^2 SR^2\}.$$

$$\Gamma_F = \{P, SR, S^2 R^2; P^2, P(SR)P = (S^2 R^2)^{-1} (SR), (SRS^2 R^2)^2 \\ = (S^2 R^2)^3\}.$$

$$\Gamma_F^+ = \{SR, S^2 R^2; (SRS^2 R^2)^2 = (S^2 R^2)^3\}.$$

$$\Gamma_N^+ = \{RS, SR; (RS)(SR)(RS) = (SR)(RS)(SR)\}.$$

For simplicity, we shall take  $\Gamma_R$  to be defined primarily by the above presentation and verify (in § 4 below) it is the same as in § 2 (above).

The symbols  $\Gamma^+$ ,  $\Gamma_R^+$ ,  $\Gamma_I^+$ ,  $\Gamma_F^+$  denote the corresponding subgroup formed by suppressing the automorphism  $P$ , the only generator which reverses “orientation”. These are invariant subgroups of index 2, as is better seen from the “conjugation” relations:

$$PRP = R^3, PR^2P = R^2, PSP = R^2S^2R^2, PS^2P = R^2SR^2.$$

(The second conjugation relation of  $\Gamma_F$ ,  $P(S^2R^2)P = (S^2R^2)^{-1}$ , of course, follows from the first.)

Here  $\Gamma_I^+$  corresponds to the inner automorphisms of  $F_2$ ,

$$R^2SR^2S^2(A, B) = (A, ABA^{-1}), S^2R^2SR^2(A, B) = (BAB^{-1}, B).$$

Also,  $\Gamma_F^+$  is the automorphism subgroup<sup>3</sup> which preserves the commutator  $K = B^{-1}A^{-1}BA$ , i.e.,

$$SR(A, B) = (A, A^{-1}B), S^2R^2(A, B) = (BA, A^{-1}).$$

The (full)  $\Gamma_F$  at worst interchanges  $K$  and  $K^{-1}$ . Fricke used  $\Gamma_F^+$ , (see [5, 2]), for real Fuchsian groups of genus 1, (compare § 5 below).

Finally  $\Gamma_N^+ = R\Gamma_F^+R^{-1}$  is an involutory transformation of  $\Gamma_F^+$  preserving  $AB^{-1}A^{-1}B$ . It has the extension property by  $RPR^{-1} = PR^2$ , (not by  $P$ ), so we do not write “ $\Gamma_N$ ”. The group  $\Gamma_N^+$  is related to partitions of primitive words of Nielsen’s type (see § 8 below).

#### 4. Relation to Modular Group

We now imbed  $F_2$  in the modular group  $G_M$  so that the inner automorphisms of  $G_M$  will generate the subgroup  $\Gamma_R^+$  in  $\Gamma^+$ .

For convenience, we take  $G_M$  as  $PSL_2(\mathbf{Z})$ , but we represent elements of  $G_M$  as matrix pairs  $\pm T$  for  $T \in SL_2(\mathbf{Z})$ . Thus if  $T = \begin{pmatrix} t_{11} & t_{12} \\ t_{21} & t_{22} \end{pmatrix}$  then  $\pm T$  determines an element of  $G_M$ ,

$$T(z) = (t_{11}z + t_{12}) / (t_{21}z + t_{22}).$$

(As usual,  $I$  is the identity matrix and  $\pm I$  leads to  $I(z) = z$ .) Then, (see [9, 8]),

$$G_M = \mathbf{Z}_2 * \mathbf{Z}_3 = \{X(z), Y(z); X^2(z), Y^3(z)\}$$

where  $X = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ ,  $Y = \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}$ . Note  $X^2 = -I$ , but  $X^2(z) = I(z)$ . (The

<sup>3</sup> The author is indebted to B. H. Neumann for advice on the word structure problem.

group  $\Gamma_R^+$  is isomorphic to  $\mathbf{G}_M$  by the interpretation following below.)

We next imbed in  $\mathbf{G}_M$  its commutator  $F_2 = [G_M, G_M]$ , the free group generated by

$$A_0 = X^{-1} Y^{-1} X Y = \begin{pmatrix} 1 & 1 \\ 1 & 2 \end{pmatrix}, \quad B_0 = Y X Y^{-1} X^{-1} = \begin{pmatrix} 1 & -1 \\ -1 & 2 \end{pmatrix}.$$

It will be useful to use the same symbol for the free group  $F_2 = \{A, B\}$  and  $\{A_0, B_0\}$ , the imbedded subgroup of  $\mathbf{G}_M$ . Actually,  $F_2$  is also the commutator subgroup of  $SL_2(\mathbf{Z})$ .

Now we see  $\Gamma_R^+$  as the subgroup of those automorphisms of  $F_2$  arising as inner automorphisms of  $PSL_2(\mathbf{Z}) (= \mathbf{G}_M)$ :

$$(A_0(z), B_0(z)) \mapsto (T A_0 T^{-1}(z), T B_0 T^{-1}(z)), T \in SL_2(\mathbf{Z}).$$

It suffices to note

$$T = X \Rightarrow (A_0, B_0) \mapsto (A_0^{-1}, B_0^{-1}) = R^2(A_0, B_0)$$

$$T = Y \Rightarrow (A_0, B_0) \mapsto (B_0, A_0^{-1} B_0^{-1}) = R^2 S^2 R^2(A_0, B_0).$$

These automorphisms generate  $\Gamma_R^+$ .

We must consider, however, the “extended” inner automorphism subgroup of  $F_2$ , with  $J = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  extending  $SL_2(\mathbf{Z})$  to  $GL_2(\mathbf{Z})$ , corresponding to  $J(z) = -z$ . Since  $A_0(-z) = B_0(z)$  then the automorphism generated by  $J$ , i.e.

$$(A_0(z), B_0(z)) \mapsto (J A_0 J^{-1}(z), J B_0 J^{-1}(z))$$

is merely  $P(A_0, B_0) = (B_0, A_0)$ . Then the automorphism group  $\Gamma_R^+$  becomes extended to  $\Gamma_R$ .

We finally equate the definitions of  $\Gamma_R$  in § 2 and § 3 by considering the coset structure (using Nielsen’s result [11, 9]  $\pi^{-1}(I) = \Gamma_I^+$ )

$$\Gamma_R / \Gamma_I^+ = \mathbf{G}_{12} = \{H_{t,u,v}\},$$

$$H_{t,u,v} = P^t R^{2u} S^{2v}, \quad t, u \pmod{2}, v \pmod{3}:$$

$$\begin{aligned} I(A, B) &= (A, B), S(A, B) = (B, B^{-1} A^{-1}), S^2(A, B) = (B^{-1} A^{-1}, A), \\ R^2(A, B) &= (A^{-1}, B^{-1}), R^2 S(A, B) = (B^{-1}, AB), R^2 S^2(A, B) = (AB, A^{-1}), \\ P(A, B) &= (B, A), PS(A, B) = (B^{-1} A^{-1}, B), PS^2(A, B) = (A, B^{-1} A^{-1}), \\ PR^2(A, B) &= (B^{-1}, A^{-1}), PR^2 S(A, B) = (AB, B^{-1}), PR^2 S^2(A, B) = (A^{-1}, AB). \end{aligned}$$

The projections  $\pi(H_{t,u,v})$  into  $\Gamma^A$ , (the automorphisms of the corresponding free group  $\mathbf{Z} \oplus \mathbf{Z}$ ), give as before

$$\mathbf{G}_{12} = \left\{ \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \pm \begin{pmatrix} 0 & 1 \\ -1 & -1 \end{pmatrix}, \pm \begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix}; \right. \\ \left. \pm \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \pm \begin{pmatrix} -1 & -1 \\ 0 & 1 \end{pmatrix}, \pm \begin{pmatrix} 1 & 0 \\ -1 & -1 \end{pmatrix} \right\}.$$

**Lemma 4.1.** Consider again the canonical projection of  $F_2 = \mathbf{Z} * \mathbf{Z}$  onto  $F_2^A = \mathbf{Z} \oplus \mathbf{Z}$  so that

$$\pi \prod_j A^{t^{(j)}} B^{u^{(j)}} = \left( \sum_j t^{(j)} \right) A + \left( \sum_j u^{(j)} \right) B$$

and let  $pA + qB$  be any primitive vector of  $F^A$ , i.e.  $p, q \in \mathbf{Z}$ ,  $(p, q) = 1$ . Then there exists a unique normalized (primitive word)  $M_{r,s}(A, B)$  and an automorphism  $G \in \Gamma_{\mathbf{R}}$  such that

$$\pi M_{r,s}(G(A, B)) = pA + qB.$$

Here  $G$  is uniquely determined to within inner automorphisms  $\Gamma_I^+$  (as a member of  $H_{t,u,v}$ ) with two exceptions:

$$\pi M_{0,1}(G(A, B)) = B \quad \text{for } G = \Gamma_I^+ \quad \text{or} \quad \Gamma_I^+(PS),$$

$$\pi M_{1,0}(G(A, B)) = A + 2B \quad \text{for } G = \Gamma_I^+ \quad \text{or} \quad \Gamma_I^+(PR^2S^2).$$

For proof, note that the primitive words  $M_{r,s}(A, B)$  of the main theorem cover exactly those  $tA + uB$  where  $(t, u) = 1$ ,  $u \geq 2t \geq 0$ . Now take the general primitive vector  $pA + qB$  and apply the matrices of  $\mathbf{G}_{12}$  to  $(A, B)$ . Then  $(p, q)$  will transform (by the "dual" matrices) into the following 12 pairs  $(t_i, u_i)$ :

$$\pm(p, q), \pm(-q, p-q), \pm(-p+q, -p);$$

$$\pm(q, p), \pm(-p, -p+q), \pm(p-q, -q).$$

We assert that exactly one of these 12 pairs satisfies  $u_i \geq 2t_i \geq 0$  unless  $(p, q) = \pm(1, 0), \pm(0, 1), \pm(1, 1)$  in one case, or  $\pm(1, 2), \pm(2, 1), \pm(1, -1)$  in the other. This assertion, in homogeneous form, ( $\xi = p/q$ ), states that

$$\xi, 1/(1-\xi), (\xi-1)/\xi; 1/\xi, (1-\xi), \xi/(\xi-1)$$

are six functions (forming the group  $\mathcal{S}_6$ ) which transform the closed interval  $[2, \infty]$  so that it covers  $[-\infty, \infty]$  with only end-point duplication (in the two exceptional cases).

In terms of the dihedral group,  $\mathbf{G}_{12}$ , the exceptional vectors correspond to the  $60^\circ$  and the  $30^\circ$  lines of symmetry of the regular hexagon.



**Lemma 4.2.** *To reduce a primitive word  $w(A, B)$  to normal form, substitute the set of 12 automorphisms  $H_{t,u,v}(A, B)$  for  $(A, B)$  and test each word  $w(H_{t,u,v}(A, B))$  to see if negative powers can be cancelled by automorphisms of  $\Gamma_1^+$ , i.e., look for a  $w(H_{t,u,v}(H_I(A, B)))$  with  $H_I \in \Gamma_1^+$  which has only positive powers of  $AB^2$  and  $B$ . Then rotate cyclically to form  $M_{r,s}$ . (If  $w(A, B)$  is not a primitive word, the process will reveal this “finitistically”.)*

Criteria of this type have many variations; (see Nielsen [11] and Lemma 7.1 below).

We note, for now, that Lemma 4.1 provides the uniqueness part (of the selection of  $H_r$ ) in Theorem 2.1 using Lemma 4.2 as the algorithm.

### 5. Matrix Version of Markoff’s Theorem

We speak of a “Markoff matrix” as a matrix  $T = \begin{pmatrix} t_{11} & t_{12} \\ t_{21} & t_{22} \end{pmatrix} \in \mathbf{SL}_2(\mathbf{Z})$  whose fixed points ( $T(z) = z$  for  $z = \xi, \eta$ ) are roots of a Markoff form,

$$\Phi(x_1, x_2) = t_{21}x_1^2 + (t_{22} - t_{11})x_1x_2 - t_{12}x_2^2.$$

We shall generate triples of such matrices according to two theorems in [2]:

**Theorem 5.1.** *Let  $A, B, C$  be matrices of  $\mathbf{SL}_2(\mathbf{Z})$  satisfying*

$$ABC = I, \quad CBA = K = \begin{pmatrix} -1 & -6 \\ 0 & -1 \end{pmatrix}.$$

*Then  $A, B, C$  are a Markoff matrix-triple. One third of the traces yields the corresponding Markoff numbers*

$$a = \mathbf{S}(A)/3, \quad b = \mathbf{S}(B)/3, \quad c = \mathbf{S}(C)/3$$

*which satisfy the equation of the Markoff-triple:*

$$a^2 + b^2 + c^2 = 3abc.$$

**Theorem 5.2.** *Matrices giving all classes of Markoff forms can be found by starting with*

$$A_0 = \begin{pmatrix} 1 & 1 \\ 1 & 2 \end{pmatrix}, \quad B_0 = \begin{pmatrix} 1 & -1 \\ -1 & 2 \end{pmatrix}, \quad C_0 = \begin{pmatrix} 3 & -1 \\ 1 & 0 \end{pmatrix}$$

and  $(a_0, b_0, c_0) = (1, 1, 1)$  and then applying  $\Gamma$  to  $(A, B)$  with  $C$  always defined as  $B^{-1}A^{-1}$ . Thus<sup>4</sup>

$$\begin{aligned} P(A, B) &= (B, A), & P(a, b, c) &= (b, a, c) \\ R(A, B) &= (B^{-1}, A), & R(a, b, c) &= (b, a, c') \\ S(A, B) &= (B, B^{-1}A^{-1}), & S(a, b, c) &= (b, c, a). \end{aligned}$$

Here  $c' = 3ab - c$ , the "second root" of Markoff's equation (in  $c$ ).

*Remarks.* In the earlier work [2], the operations in Theorem 5.2 were restricted to the subgroup  $\Gamma_F^+$  which preserves the commutator  $B^{-1}A^{-1}BA = K$ , (for the benefit of Theorem 5.1). This is not really necessary since

$$\begin{aligned} P(B^{-1}A^{-1}BA) &= K^{-1}, & R(B^{-1}A^{-1}BA) &= BKB^{-1}, \\ S(B^{-1}A^{-1}BA) &= AK A^{-1}. \end{aligned}$$

Thus by "extended" automorphisms of  $F_2$ ,  $H \in \Gamma_1$ , we obtain new matrices,  $H(A, B)$ , which satisfy Theorem 5.1. Thus the "Markoff" property (and traces) of the new matrices remains the same. Specifically, under  $\Gamma_F^+$ , (compare § 3 above),

$$SR(a, b, c) = (a, c, b), \quad S^2R^2(a, b, c) = (c, b', a),$$

( $b' = 3ac - b$ ), thus generating all Markoff triples, (see [2]). In this paper  $\Gamma_N^+$  will be used instead (in § 8 below).

## 6. Nature of the Period

The roots of a Markoff form were shown in [10] to have a purely periodic expansion of "double ones" [1, 1] and "double twos" [2, 2] with special arrangements, which we explain now according to [3]. Let

$$V_1(z) = 1 + 1/(1 + 1/z) = B_0^{-1}(z)$$

$$V_2(z) = 2 + 1/(2 + 1/z) = B_0^{-1}A_0^{-1}B_0^{-1}(z).$$

Then an arbitrary Markoff period is uniquely determined by a pair of integers  $(r, s)$ , with  $r \geq 0$ ,  $s \geq 0$ ,  $(r, s) = 1$  by a pattern of "double ones" and "double twos" corresponding to the matrix

$$M_{r,s}^*(A_0, B_0) = V_2 V_1^{a_1} V_2 V_1^{a_2} \dots V_2 V_1^{a_r}; M_{0,1}^* = V_1,$$

<sup>4</sup> The terminology  $H(a, b, c) = (a^*, b^*, c^*)$  means that  $H(A, B, C) = (A^*, B^*, C^*)$  with  $3a = S(A)$ ,  $3a^* = S(A^*)$ , etc., for any Markoff matrix-triple. The independence of the trace relation (for arbitrary Markoff matrix-triple) is the very essence of Fricke's identities (see [5, 2]).

and  $a_t = [ts/r] - [(t-1)s/r]$ , (as in Theorem 2.1). This becomes our normal form (in terms of  $A = A_0, B = B_0$ ) if we note the words

$$\begin{aligned} V_1 = v_1(A, B) &= B^{-1}, & V_2 = v_2(A, B) &= B^{-1} A^{-1} B^{-1}, \\ S^2 R^2 S(A, B) &= (B^{-1} A^{-1} B, B^{-1}), \\ v_1(S^2 R^2 S(A, B)) &= B, & v_2(S^2 R^2 S(A, B)) &= AB^2, \\ M_{r,s}^*(S^2 R^2 S(A, B)) &= M_{r,s}(A, B). \end{aligned}$$

**Lemma 6.1.** *If the sequence  $a_t = [ts/r] - [(t-1)s/r]$ ,  $(r, s) = 1, r > 0, s > 0$  is written in reverse order for  $1 \leq t \leq r$*

$$a_r, a_{r-1}, \dots, a_1$$

*then the sequence is equivalent to a cyclic shift (modulo  $r$ ) of its direct order  $a_1, \dots, a_r$ . In terms of automorphisms, for  $H_I^+ \in \Gamma_I^+$  and  $H_R^+ = H_I^+ R^2 \in \Gamma_R^+$ ,*

$$\begin{aligned} M_{r,s}(A^{-1}, B^{-1})^{-1} &= M_{r,s}(H_I^+(A, B)), \\ M_{r,s}(A, B)^{-1} &= M_{r,s}(H_R^+(A, B)). \end{aligned}$$

For proof, we use induction. First we can reduce  $s$  to its least residue (modulo  $r$ ), and next we can replace  $r$  by  $s$  since the count of the “zeros” ( $a_t = 0$ ) between the “ones” ( $a_t = 1$ ) is what must be shifted cyclically by the reversal of order. In this way the problem becomes reduced to small  $r$  and  $s$  for which it is trivial.

## 7. Proof of Main Theorem

Let  $w(A, B)$  be a primitive word in  $F_2$ . Then the matrix  $T = w(A_0, B_0)$  is a Markoff matrix by Theorem 5.2. The problem is that the matrix contains “more information” than the corresponding form.

**Lemma 7.1.** *The Markoff matrix  $T$  is equivalent to an exact power of some  $M_{r,s}(A_0, B_0)$ , i.e., for some  $N \in \mathbf{GL}_2(\mathbf{Z})$ , and integer  $u (\neq 0)$*

$$\pm N T N^{-1} = M_{r,s}(A_0, B_0)^u.$$

To sketch the proof of this lemma briefly, let  $N$  transform<sup>5</sup> the fixed points of  $T$  into those of some  $M_{r,s}(A_0, B_0)$ , (by § 6). Now the matrices  $N T N^{-1}$  and  $M_{r,s}(A_0, B_0)$  have the same fixed points, and the eigenvalues of each are units (of norm 1) lying in a quadratic field. The existence of a fundamental unit assures us that both of these matrices are (positive

<sup>5</sup> Since the continued fractions are generated by  $N_1(z) = 1 + z$  and  $N_2(z) = 1/z$ , it is necessary to permit matrices of determinant  $-1$  for the transform  $N$  (See remark at end of § 7.)

or negative) powers of a common matrix. Since  $M_{r,s}$  is not a higher power (by construction), Lemma 7.1 follows.

To conclude the proof of the main theorem, note that if  $T$  is a primitive word, it is not an exact power (of  $N^{-1}M_{r,s}N$ ) unless  $u = \pm 1$ . If  $u = +1$  the Main Theorem follows from the automorphism properties of  $\Gamma_R$ . If  $u = -1$ , we have only to apply Lemma 6.1 to obtain exponent  $u = +1$  by an inner automorphism ( $\in \Gamma_I^+ \subset \Gamma_R$ ). The “uniqueness” was noted in § 4 above.

Now Corollary 2.2 to the main theorem follows from Lemma 4.2. If  $w_0(A, B)$  is a positive word in both  $B$  and  $AB^2$ , then the lemma shows none of the twelve words  $w_0(H_{i,u,v}(A, B))$  except  $H_{i,u,v} = I$  can be made to have only positive powers of  $B$  and  $AB^2$  through inner automorphisms of  $F_2$  (in  $\Gamma_I^+$ ). Now by the uniqueness of the period of the Markoff form,  $w_0(A, B)$  must be equivalent (in  $\Gamma_I^+$ ) to  $M_{r,s}(A, B)$ .

There is a “computational” test in Lemma 7.1.

**Lemma 7.2.** *To test whether or not the word  $w(A, B)$  in  $F_2$  is a power of a primitive word, test to see if the matrix  $T = w(A_0, B_0)$  is a Markoff matrix, (presumably by the continued fraction for its fixed-points).*

*Remark.* Since it takes only a “small” subgroup of  $\Gamma^+$  (e.g.,  $\Gamma_F^+$ ) to generate all classes of Markoff forms in Theorem 5.1, we might wonder if the smaller group  $\Gamma_R^+$  could replace  $\Gamma_R$  in the main theorem. This involves asking if  $N$  could be restricted to  $SL_2(\mathbf{Z})$  in Lemma 7.1.

This is possible only for the first two Markoff forms  $\Phi_1$  and  $\Phi_2$  of periods  $[1, 1]$  and  $[2, 2]$  respectively, (see § 1), which naturally are the exceptional cases of the main theorem and Lemma 4.1. Since the roots permit the improper transformations  $z \mapsto n + 1/z$ , ( $n = 1, 2$ ), any numbers equivalent to them in  $PGL_2(\mathbf{Z})$  are equivalent in  $PSL_2(\mathbf{Z})$ . For  $\Phi_a$ , ( $a > 2$ ), this situation does not prevail because the period is “genuinely” even. (Compare the illustrations in § 2.)

## 8. Partitions of Primitive Words on Markoff Periods

We consider analogues of theorems of Nielsen [11] (also see Whitehead [16] and Weinbaum [15]), on the partitioning<sup>6</sup> of primitive words into the product of basis elements.

The construction of  $M_{r,s}(A, B)$  (in § 2 above) lends itself to the identity

$$M_{r,s}M_{t,u} = M_{r+s,t+u}$$

where

$$r/s > (r+t)/(s+u) > t/u, \quad ru - st = 1.$$

<sup>6</sup> These results were called to the author’s attention by W. Magnus.

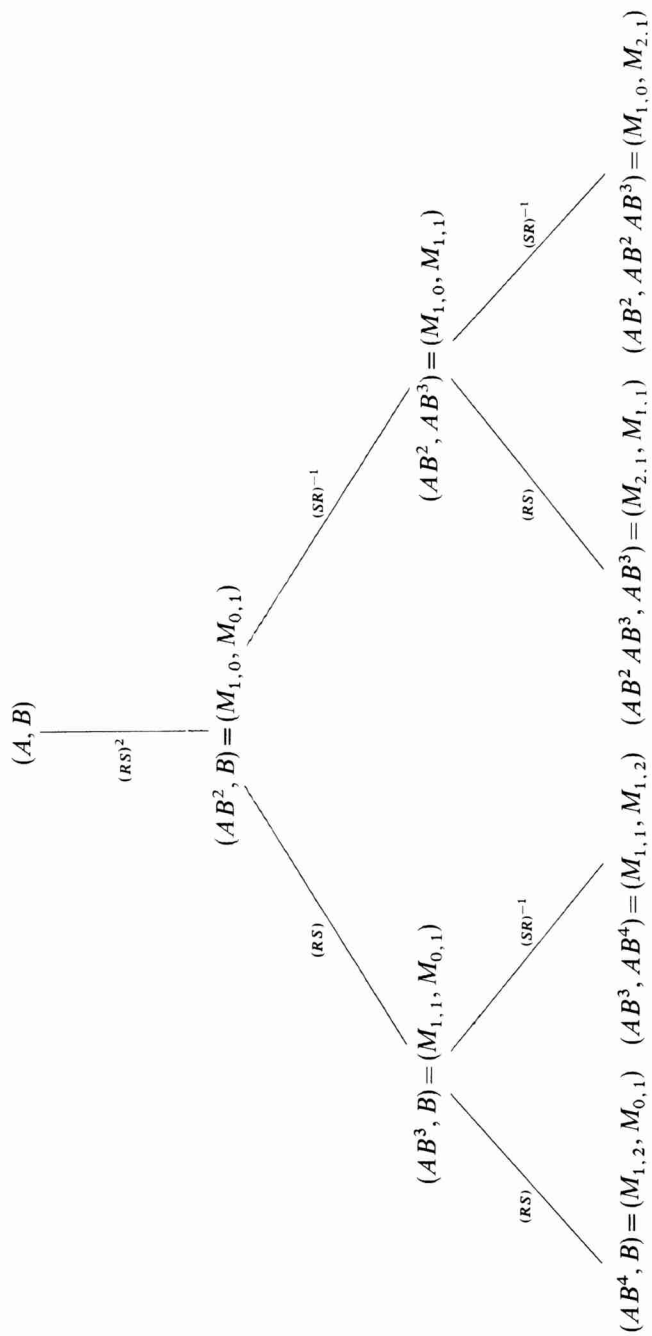


Fig. 1. Generation of normalized words by  $\Gamma_N^+$

(The definition here requires that  $r \geq 0$ ,  $s \geq 0$ ,  $t \geq 0$ ,  $u \geq 0$ .) This identity is essentially Smith's "geometric interpretation of the continued fraction", (compare [3]).

Thus the totality of normalized words  $M_{r,s}(A, B)$  can be constructed by the following operations of  $\Gamma_N^+$  (see § 3 above).

$$(RS)^2(A, B) = (AB^2, B) = (M_{1,0}, M_{0,1})$$

$$RS(M_{r,s}, M_{t,u}) = (M_{r,s}M_{t,u}, M_{t,u})$$

$$(SR)^{-1}(M_{r,s}, M_{t,u}) = (M_{r,s}, M_{r,s}M_{t,u}).$$

Indeed by the geometric method mentioned above, we can find some  $H_N \in \Gamma_N^+$ , given any  $M_{r,s}, M_{t,u}$ , such that

$$H_N(A, B) = (M_{r,s}(A, B), M_{t,u}(A, B)).$$

**Theorem 8.1.** *Let  $(a, b, c)$  be a Markoff triple. Then non-negative integers  $r, s, t, u$ , ( $ru - st = 1$ ), can be found so that the traces yield the values:*

$$3a = SM_{r,s}(A_0, B_0), \quad 3b = SM_{t,u}(A_0, B_0), \quad 3c = SM_{r+t, s+u}(A_0, B_0).$$

*This triple can be constructed by using the  $\Gamma_N^+$  group in the manner of Theorem 2.5, (indeed by using the semi-group generated by  $RS$  and  $(SR)^{-1}$ ). In terms of Markoff triples,*

$$RS(a, b, c) = (c, b, a'), \quad (SR)^{-1}(a, b, c) = (a, c, b'),$$

(with  $a' = 3bc - a$ ,  $b' = 3ac - b$ , as usual).

This construction is illustrated in Fig. 1. It is analogous to the construction of all relatively prime non-negative pairs  $(r, s)$  by the euclidean algorithm. Markoff numbers for  $M_{r,s}$  are as follows, (for  $a < 100$ ):

$$\begin{array}{cccccccc} (r, s) = & (0, 1) & | & (1, 0) & | & (1, 1) & | & (1, 2) & | & (2, 1) & | & (1, 3) & | & (1, 4) \\ a = & 1 & | & 2 & | & 5 & | & 13 & | & 29 & | & 34 & | & 89 \end{array}$$

*Remark.* From Theorem 8.1, and the uniqueness of the class of the Markoff form for a given Markoff number, the continued fraction rules (see  $M_{r,s}^*$  of § 5 above) automatically follow independently of [3].

## 9. Remarks on the Perforated Torus and its Geodesics

Looking back, we see that the "minimal property" of Markoff forms was not used directly. We used only the fact that the Markoff matrices were "self-perpetuating" and identifiable by the (invariant) trace with the corresponding form, (see Theorem 5.2 above).

To use the minimal property, we repeat the construction of [3]. For each Markoff form  $\Phi$  we draw a "Poincaré-Klein" geodesic semicircle in the upper half  $z$ -plane, ( $y > 0$ ), orthogonal to the (real)  $x$ -axis where it

connects the roots  $\xi, \eta$  of the Markoff form  $\Phi$ . Then under the equivalence class of the form  $\Phi$ , the geodesics will all lie below  $y = 3/2$ . Indeed the maximum  $y$ , (= radius =  $(\xi - \eta)/2$ ), is exactly  $(2\mu(\Phi))^{-1}$ , in the terminology of § 1. Only the class of a Markoff form would have the property that all geodesics lie (a positive amount) below  $y = 3/2$ .

Now  $F_2 = \{A, B\}$  is the commutator group of  $G_M = PSL_2(\mathbf{Z})$ . As such, its fundamental domain is six replicas of the standard fundamental domain (cusp at  $\infty$ ) of  $G_M$ , (see Maass [8]), adjacent under translation  $z \mapsto z + n$ . The fundamental domain is mapped onto  $U$ , a regular hexagon on the  $u$ -plane, for

$$du = dJ/(J^{2/3}(1 - J)^{1/2})$$

and  $J(z)$  the Klein invariant of  $G_M$ , ( $J(i) = 1$ ,  $J(\exp 2\pi i/3) = 0$ ,  $J(i\infty) = +\infty$ ). We endow  $U$  with the metric  $ds = |dz|/y$  as transferred from the  $z$ -plane.

This hexagon  $U$  is a torus under the identifications  $A_0, B_0, C_0$  of opposite sides. If we excise the closed disk

$$y \geq 3/2, \text{ (inc. } z = i\infty),$$

which surrounds  $u = 0$ , ( $J(z) = \infty$ ), we get the perforated torus  $U^* \subset U$ ; (actually,  $U$  has an "almost circular" disk cut out). Then  $F_2$  is the fundamental group of  $U^*$ . It was shown in [3] that with a well-selected homotopy basis of  $U$ , essentially  $V_1 = B_0^{-1}$ ,  $V_2 = B_0^{-1} A_0^{-1} B_0^{-1}$  (of § 5), the closed geodesics are precisely in the homotopy classes of the words  $M_{r,s}^*$ .

We use the same  $V_1, V_2$  as generators of the (one-dimensional) homology group of  $U$  (not  $U^*$ ). Then each closed geodesic of  $U^*$  represents a primitive homology class of  $U$ . Conversely, however, (by Lemma 4.1), each primitive homology class of  $U$  is represented by a unique closed  $M_{r,s}^*$  geodesic of  $U^*$  under  $\Gamma_R$ . Part of  $\Gamma_R$  is  $\Gamma_I^+$ , the inner automorphisms of  $F_2$ , which constitutes the change of homotopy basis; but the other factor represents  $\Gamma_R/\Gamma_I^+ = G_{12}$  (in the terminology of § 4), realized by the dihedral group of the hexagon.

Furthermore, in the spirit of § 8 (on partitions), every closed geodesic other than type  $V_1$  or  $V_2$  is homotopically equivalent to the sum of two geodesics which form a basis pair.

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